

Retail Block & Tier Prices using EMIX 1.0

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Abstract:

This Note describes how an application using the EMIX information model can receive Full Requirements Block & Tier Tariffs, (which we abbreviate Block & Tier) and describes how the information can be used by any model rich enough to encompass this sort of price information.

Status:

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1 Introduction

This Note describes how an application using the EMIX information model can receive Full Requirements Block & Tier Tariffs, (which we abbreviate Block & Tier) and describes how the information can be used by any model rich enough to encompass this sort of price information .

We describe the information for price inflection points, and then how that information is expressed in EMIX 1.0 Public Review 04. In addition we show how the same information is expressed in Smart Energy Profile 2 **[SEP2]**, which has a rich price information model.

EMIX information can be combined for a rich expression of anything from price and product applied to a single interval to complex schedules over extended periods of time, with or without gaps.

Any application that can express Full Requirements Block & Tier Tariff information can use its mapping to directly build a mapping from EMIX to its environment; if the artifacts are expressed in XML in that application environment then an XSLT transformation may be created.

1.1 References

1.1.1 OASIS Committee Specifications and Drafts

[EMIX]

OASIS Energy Market Information Exchange [EMIX] Version 1.0, 08 September 2011. OASIS Committee Specification Draft and Public Review 04. <http://docs.oasis-open.org/emix/emix/v1.0/csprd04/emix-v1.0-csprd04.pdf>

[EnergyInteroperation]

OASIS Energy Interoperation Version 1.0, 15 July 2011. OASIS Committee Specification Draft and Public Review 02. <http://docs.oasis-open.org/energyinterop/ei/v1.0/csprd02/energyinterop-v1.0-csprd02.pdf>

[WS-Calendar]

OASIS WS-Calendar Version 1.0, 30 July 2011. OASIS Committee Specification. <http://docs.oasis-open.org/ws-calendar/ws-calendar-spec/v1.0/cs01/ws-calendar-spec-v1.0-cs01.pdf>

1.1.2 Other References

[SEP2]

Smart Energy Profile 2.0 Public Application Protocol Specification, Version 2.0 Draft 0.7 Third Release, July 2011. ZigBee Alliance et al.

<http://zigbee.org/Standards/ZigBeeSmartEnergy/Version20Documents.aspx>

32

33 2 Block & Tier Prices

34 In this section we describe California-style Block & Tier tariff information, and the price
35 inflection points that you need to know to determine prices. We describe Block & Tier price
36 inflection points and explore a specific example including how the example Block & Tier
37 information is expressed in EMIX [EMIX] and in SEP 2.0. We use terminology for Intervals and
38 Gluons from [WS-Calendar].

39 We conclude by showing how any application environment that can express Block & Tier price
40 information can construct that information from an EMIX information exchange.

41 2.1 Description of the Problem

42 There are two or more Consumption Tiers, determined as a percentage of a baseline number,
43 which in turn is determined by the climate zone of the premises, hence known for each
44 premises.

45 For concreteness we assume that the baseline value is 1000 kWh, and that the percentage
46 amounts separating the tiers are at 100%, 150%, and 200%, defining four Consumption Tiers.

47 For premises within a given Consumption Tier there is a price that depends on time of day. We
48 assume that the times are:

- 49 • Low 9pm to 10am the next day
- 50 • Shoulder/Mid 10am to 2pm and 6pm to 9pm
- 51 • High 2pm to 6pm

52 An application might deliver information for varying time ranges; we analyze the information
53 structure and note that the information content for (say) weekends would be expressed
54 similarly.

55 2.2 Information Exchange

56 EMIX is an integration information model, designed with building blocks to express common
57 characteristics of market information including price. One cannot assume that all
58 communicating applications will use precisely the same information models, so one must plan
59 for information mapping or transformation where the information is received. In EMIX,
60 information can be combined for a rich expression of anything from price and product applied to
61 a single interval to complex schedules over extended periods of time, with or without gaps.

62 A human gathers information from many sources, from newspapers, web pages, radio
63 broadcasts, and mail delivery of letters. Reading about the energy prices tomorrow in any of
64 those takes the information expressed in many different formats and media and is transformed
65 into the information understanding in the human's central nervous system. No one says, "I'll
66 only read prices in the newspaper if they're in precisely the format I like."

67 Likewise a facility will receive information on energy prices tomorrow from web pages, radio
68 broadcasts, point-to-point messages, and other means. To act based on the semantic

69 information about price, the application must take information from multiple sources in
70 multiple formats.

71 One cannot assume that all recipients share precisely the same information modeling approach,
72 or that (even if they do) they change or update their models at exactly the same time. Complex
73 systems that do not take advantage of interchange or integration information can be brittle, and
74 hard to manage and evolve without a high level of care. By limiting the coupling between
75 provider and consumer information models, Service-Oriented Architectures allow for
76 independent evolution behind the information exchanged.

77 2.3 Scoping of the Problem

78 To demonstrate mapping to any Premises system that can handle the Blocks & Tiers, it suffices
79 to demonstrate expression of the information model required by those tariffs. We have in effect
80 an array where one dimension is Consumption Tier number and the other is time.

81 Consumption Tiers are defined by the cut points and identified by numbers 1, 2, 3, and 4 in the
82 following table. Time is defined by intervals. In the illustration the times are described as “Low,
83 Shoulder, and High” in Table 1.

Max	100%	150%	200%	over
min	0	1000	1500	2000
max	1000	1500	2000	999999
Consumption				
Tier	1	2	3	4
Low	0.10	0.11	0.12	0.13
Shoulder	0.20	0.25	0.27	0.32
High	0.30	0.50	0.60	0.65

84 **TABLE 1 PRICE IN DOLLARS PER KILOWATT HOUR**

85 This defines a two-dimension array; an application would find where it is in the Consumption
86 Tiers, and then read the price for the current or future time of day. So the key information is
87 exactly that, given the time of day and Consumption Tier, one can tell the Block & Tier aspect of
88 the current price¹.

89 This array is expressed in EMIX, leveraging the structures for demand charges (industrial in the
90 US, residential and industrial in much of the rest of the world), as follows:

- 91 (1) Each time interval (Low, Shoulder, High) is described as a WS-Calendar Sequence, e.g.
92 a. Low: midnight to 10am and 9pm to midnight (two intervals)
93 b. Shoulder: 10am to 2pm and 6pm to 9pm (two intervals)
94 c. High 2pm to 6pm (two intervals)
- 95 (2) In the alternative a sequence of intervals can be defined with the appropriate tier
96 information attached (starting at midnight, durations of 10h, 4h, 4h, 3h, 3h)

¹ Other charges may be in a bill, e.g., usage based or customer based; we are expressing the more complex model for Block & Tier price only.

- 97 (3) Each time interval has a sequence of Consumption Tier cut points, expressed as
 98 *maximumEnergyLevel* of the high point.
- 99 (4) Retrieval algorithm: Select the right time interval for time of day; select the correct
 100 consumption tier.

101 Applications may choose to, and likely will, express this information differently. For example, an
 102 array of 60-minute intervals could point to the Consumption Tier structure for that interval.
 103 Moreover, an EMIX artifact could express the information in other ways, say with Gluons that
 104 respectively reference the Low, Shoulder, and High price tiers.

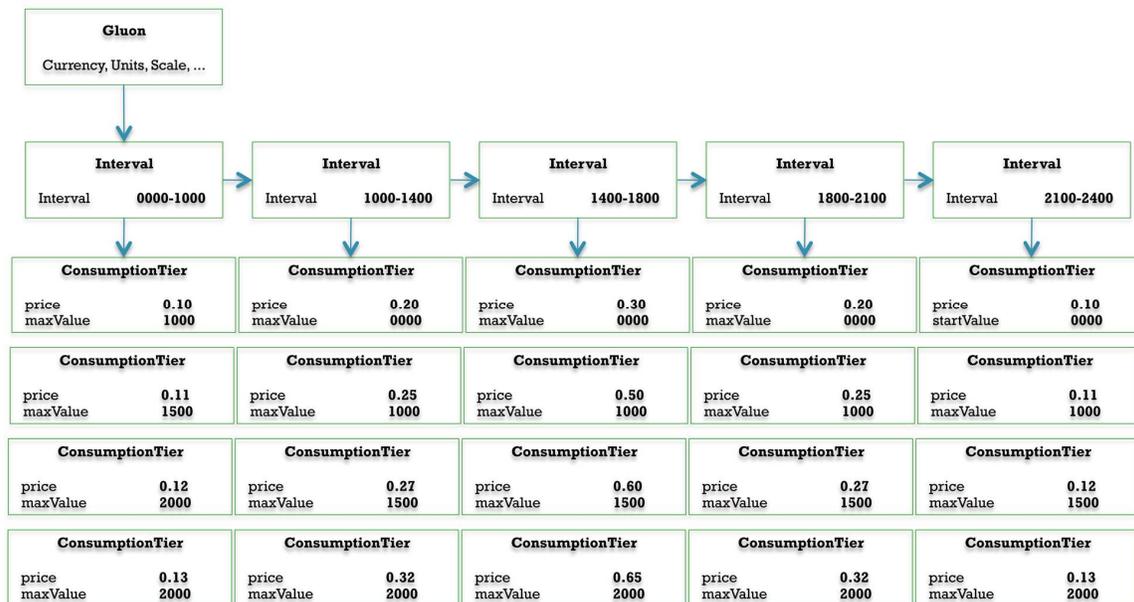
105 Clearly this applies only to applications that maintain their own model of a Block & Tier tariff.
 106 Since such an application has a means of interpreting the information model (cut points and
 107 time intervals) that application can then describe the mapping from a received EMIX artifact to
 108 its own information model.

109 More complex Block & Tier structures, e.g. ones with different price levels or consumption levels
 110 on weekends or holidays, or seasonal differences can be expressed in a similar manner.

111 3 Information Structure for Block & Tier

112 3.1 EMIX Information Structure

113 The EMIX information structure describes Intervals, each with a list of consumption tiers.



114

115

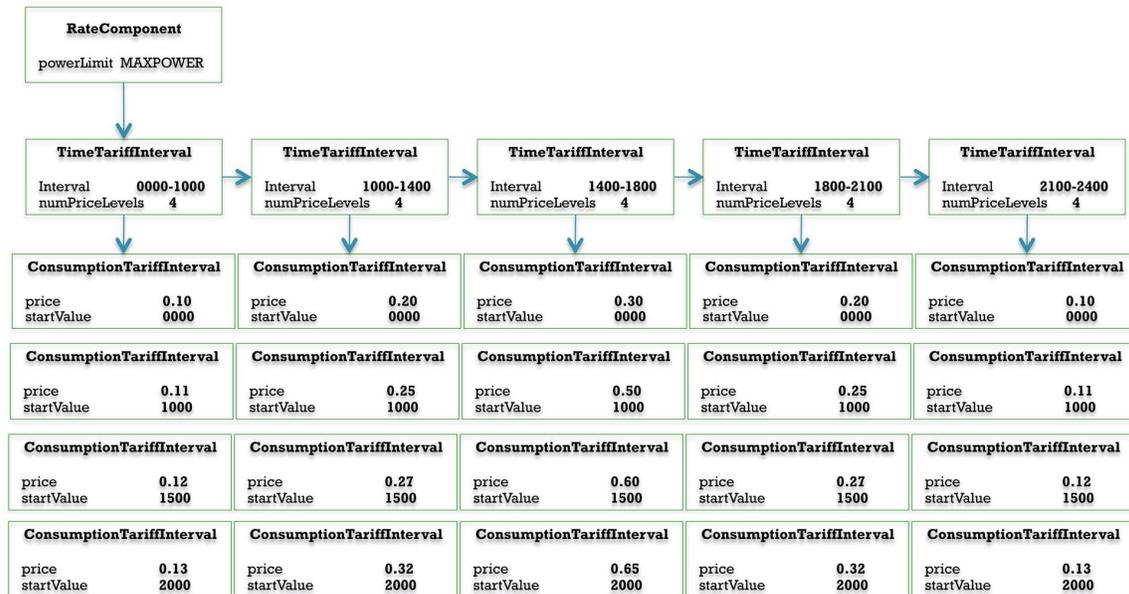
FIGURE 1 EMIX INFORMATION STRUCTURE FOR BLOCK & TIER EXAMPLE

116 A Gluon references a Sequence **[WS-Calendar]** and contains inherited information such as
 117 currency, units, scale, and what is measured. Thus each time Interval and **ConsumptionTier** has
 118 common information.²

119 EMIX has a rich expression for price and product information. The mechanisms used for Block &
 120 Tier are similar those that in EMIX are used to describe so-called ratchet tariffs, where exceeding
 121 demand charge thresholds may affect price for months.

122 3.2 SEP 2.0 Information Structure

123 We describe information structures from SEP2.0 Draft 0.7 – Third Release **[SEP2]**, which
 124 represents the Consumption Blocks & Tiers with a list of *TimeTariffIntervals*, each of which has
 125 zero or more *ConsumptionTariffIntervals*. This expresses the information in Table 1 as shown in
 126 Figure 2 with time intervals across the top and the tier values in columns below.³



127

128 **FIGURE 2 SEP 2 INFORMATION STRUCTURE FOR BLOCK & TIER EXAMPLE**

129 For clarity Figure 2 omits many details including inherited optional attributes. Consumption tiers
 130 are represented by the minimum usage amount, which is apparently represented as **startValue**.
 131 There is also the **powerLimit** in the **RateComponent** object, which is apparently the maximum
 132 power permitted by the tariff.

² Unless a specific cell needs different information. For example, if many of the prices are 0.30, then that value could be carried in the Gluon and inherited rather than expressed directly. The information model is identical, but the expression may be compressed in this manner for communication.

³ In this and other examples, optimization may be possible depending on how the application software traverses the structure. Such optimizations do not affect our discussion.

133 3.3 Comparison of EMIX and SEP 2.0 Information Structures

134 The SEP2 information structure is very similar to the EMIX information structure. As we see the
135 graphical representation is essentially the same except for attribute names.

136 The EMIX tiers are identified using the maximum, rather than the minimum levels, so the series
137 in our example would be {1000, 1500, 2000, **maximum allowable power** which we've
138 abbreviated **maxPower**}. In contrast, SEP2 tiers have additional information, determined by the
139 Block & Tier inflection point structure, apparently intended for application use, e.g.
140 **numPriceLevels** that is defined by the price inflection points.

141 There are other differences. For example, the following information items are represented in
142 the EMIX interchange information in the Gluon, and in the SEP2 application environment are in
143 an instance of the **ReadingType** class:

- 144 • Currency
- 145 • Energy as used in the definitions
- 146 • Multiplier or scale factor

147 4 Summary and Conclusions

148 We have shown how both EMIX and SEP2 can express the information model of a Block & Tier
149 tariff. Any application that supports such tariffs can take and place the information on price
150 inflection points from an EMIX expression in its own data structures.

151 A concrete mapping can be made directly for any application environment that describes its
152 mapping of the Block & Tier tariffs. We have described in detail EMIX and SEP2 express Block &
153 Tier information. More generally, we have demonstrated that such a mapping exists from EMIX
154 to any application that supports Block & Tier tariffs—the same information mapping that the
155 application uses to express Block & Tier information is used to place the necessary price
156 inflection points in that application's internal data structures.

157 This is the essence of constructing applications using Service Oriented Architectures and
158 integration approaches—the information gets through; how it's maintained internally is the
159 business of the receiving application..

160 The process demonstrated, of understanding the application model and then mapping the
161 required information in to it, is also replicable for any target data structure that models Block &
162 Tier prices.

163

Appendix A. Acknowledgments

164 The following individuals have participated in the creation of this specification and are gratefully
165 acknowledged:

166 Participants:

167 Bruce Bartell, Southern California Edison

168 Edward Cazalet, Individual

169 Toby Considine, University of North Carolina

170 William Cox, Individual

171 David Holmberg, National Institute of Standards and Technology

172

173

Appendix B. Revision History

Revision	Date	Editor	Changes Made
01	27 September 2011	Toby Considine	Template with notes
02	08 October 2011	William Cox	Rewrite from previously contributed Block & Tier Information note.
03	13 October 2011	David Holmberg, William Cox	Minor editorial corrections. Updated footer numbers and WD numbers in preparation for Public Review

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