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SAML 2.0 Profile of XACML, Version 2

4 Working Draft 5

5 19 July 2007

6	Specification URIs:
7	[document identifier as per OASIS Artifact Naming Guidelines]
8	This Version:
9	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].html
10	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].pdf
11	Previous Version:
12	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].html
13	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].pdf
14	Latest Version:
15	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].html
16	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].pdf
17	Latest Approved Version:
18	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].html
19	http://docs.oasis-open.org/[tc-short-name]/[additional path/filename].pdf
20	Technical Committee:
21	OASIS eXtensible Access Control Markup Language (XACML) TC
22	Chair(s):
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27	Related Work:
28	This specification replaces and supersedes:
29	• SAML 2.0 profile of XACML 2.0
30 31	This specification is related to:SAML 2.0 OASIS Standard

- XACML 1.0, 2.0, 3.0 OASIS Standards
- XACML 1.1 Committee Draft

34 **Declared XML Namespace(s):**

35 [list namespaces here]

36 [list namespaces here]

37 Abstract:

This specification defines a profile for the integration of the OASIS Security Assertion Markup 38 Language (SAML) Version 2.0 with all versions of XACML. SAML 2.0 complements XACML 39 40 functionality in many ways, so a number of somewhat independent functions are described in this profile: 1) use of SAML 2.0 Attribute Assertions with XACML, including the use of SAML 41 Attribute Assertions in a SOAP Header to convey Attributes that can be consumed by an XACML 42 PDP. 2) use of SAML to carry XACML authorization decisions. authorization decision queries. 43 and authorization decision responses, 3) use of SAML to carry XACML policies, policy queries, 44 and policy query responses, 4) use of XACML authorization decisions or policies as Advice in 45 SAML Assertions, and 5) use of XACML responses in SAML Assertions as authorization tokens. 46 Particular implementations may provide only a subset of these functions. 47

48 **Status:** 49

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152 **1** Introduction

153 [Except for schema fragments, all text is normative unless otherwise indicated.]

154 Non-normative through Section 1.4

The OASIS eXtensible Access Control Markup Language [XACML] is a powerful, standard language that specifies schemas for authorization policies and for authorization decision requests and responses. It also specifies how to evaluate policies against requests to compute a response. A brief non-normative overview of XACML is available in [XACMLIntro].

The non-normative XACML usage model assumes that a Policy Enforcement Point (PEP) is responsible for protecting access to one or more resources. When a resource access is attempted, the PEP sends a description of the attempted access to a Policy Decision Point (PDP) in the form of an authorization decision request. The PDP evaluates this request against its available policies and attributes and produces an authorization decision that is returned to the PEP. The PEP is responsible for enforcing the decision.

In producing its description of the access request, the PEP may obtain attributes from on-line Attribute
 Authorities (AA) or from Attribute Repositories into which AAs have stored attributes. The PDP (or, more
 precisely, its Context Handler component) may augment the PEP's description of the access request
 with additional attributes obtained from AAs or Attribute Repositories.

The PDP may obtain policies from on-line Policy Administration Points (PAP) or from Policy Repositories into which PAPs have stored policies.

XACML itself defines the content of some of the messages necessary to implement this model, but 171 deliberately confines its scope to the language elements used directly by the PDP and does not define 172 protocols or transport mechanisms. Full implementation of the usage model depends on use of other 173 standards to specify assertions, protocols, and transport mechanisms. XACML also does not specify 174 how to implement a Policy Enforcement Point, Policy Administration Point, Attribute Authority, Context 175 Handler, or Repository, but XACML artifacts can serve as a standard format for exchanging information 176 between these entities when combined with other standards. 177 One standard suitable for providing the assertion and protocol mechanisms needed by XACML is the 178

One standard suitable for providing the assertion and protocol mechanisms needed by XACML is the
 OASIS Security Assertion Markup Language (SAML), Version 2.0 [SAML]. SAML defines schemas
 intended for use in requesting and responding with various types of security assertions. The SAML
 schemas include information needed to identify, validate, and authenticate the contents of the assertions,
 such as the identity of the assertion issuer, the validity period of the assertion, and the digital signature of
 the assertion. The SAML specification describes how these elements are to be used. In addition, SAML
 has associated specifications that define bindings to other standards. These other standards provide
 transport mechanisms and specify how digital signatures should be created and verified.

186 **1.1 Organization of this Profile**

This Profile defines how to use SAML 2.0 to protect, store, transport, request, and respond with XACML schema instances and other information needed by an XACML implementation. The remaining Sections of this Profile describe the following aspects of SAML 2.0 usage.

- Section 2 describes how to use SAML Attributes in an XACML system. It describes the use of thefollowing elements:
- (saml:Attribute> A standard SAML element that MAY be used in an XACML system for storing and transmitting attribute values. The <saml:Attribute> must be at least conceptually transformed into an <xacml-context:Attribute> before it can be used in an XACML
 Request Context.

- (saml:AttributeStatement> A standard SAML element that MUST be used to hold
 (saml:Attribute> instances in an XACML system.
- 3. <saml:Assertion> A standard SAML element that MUST be used to hold
 <saml:AttributeStatement> instances in an XACML system, either in an Attribute
 Repository or in a SAML Attribute Response. The <saml:Assertion> contains information
 that is required in order to transform a <saml:Attribute> into an <xacml-
 context:Attribute>. An instance of such a <saml:Assertion> element is called a SAML
 Attribute Assertion in this Profile.
- 4. <samlp:AttributeQuery> A standard SAML protocol element that MAY be used by an
 XACML PDP or PEP to request <saml:Attribute> instances from an Attribute Authority for
 use in an XACML Request Context.
- 2075. <samlp:Response> A standard SAML protocol element that MUST be used to return SAML208Attribute Assertions in response to a <samlp:AttributeQuery> in an XACML system. An209instance of such a <samlp:Response> element is called a SAML Attribute Response in this210Profile.
- 211 Section 3 describes ways to convey XACML Attributes in a SOAP message.
- 212 Section 4 describes the use of SAML in requesting, responding with, storing, and transmitting 213 authorization decisions in an XACML system. The following types and elements are described:
- xacml-saml:XACMLAuthzDecisionStatementType A new SAML extension type defined
 in this Profile that MAY be used in an XACML system to create XACMLAuthzDecision
 Statements that hold XACML authorization decisions for storage or transmission.
- <saml:Statement> A standard SAML element that MUST be used to contain instances of the <xacml-saml:XACMLAuthzDecisionStatementType>. An instance of such a
 <saml:Statement> element is called an XACMLAuthzDecision Statement in this Profile.
- 2203. <saml:Assertion> A standard SAML element that MUST be used to hold221XACMLAuthzDecision Statements in an XACML system, either in a repository or in a222XACMLAuthzDecision Response. An instance of such a <saml:Assertion> element is called223an XACMLAuthzDecision Assertion in this Profile.
- 4. <xacml-samlp:XACMLAuthzDecisionQuery> A new SAML extension protocol element
 defined in this Profile that MAY be used by a PEP to request an authorization decision from an
 XACML PDP.
- 5. <samlp:Response> A standard SAML protocol element that MUST be used to return
 XACMLAuthzDecision Assertions from an XACML PDP in response to an <xacml-
 samlp:XACMLAuthzDecisionQuery>. An instance of such a <samlp:Response> element
 is called an XACMLAuthzDecision Response in this Profile.
- Section 5 describes the use of SAML in requesting, responding with, storing, and transmitting XACML
 policies. The following types and elements are described:
- 2331. xacml-saml:XACMLPolicyStatementType A new SAML extension type defined in this234Profile that MAY be used in an XACML system to create XACMLPolicy Statements that hold235XACML policies for storage or transmission.
- <saml:Statement> A standard SAML element that MUST be used to contain instances of the xacml-saml:XACMLPolicyStatementType. An instance of such a <saml:Statement> element is called an XACMLPolicy Statement in this Profile.

- 3. <saml:Assertion> A standard SAML element that MUST be used to hold XACMLPolicy
 Statement instances in an XACML system, either in a repository or in an XACMLPolicy
 Response. An instance of such a <saml:Assertion> element is called an XACMLPolicy
 Assertion in this Profile.
- 4. <xacml-samlp:XACMLPolicyQuery> A new SAML extension protocol element defined in this Profile that MAY be used by a PDP or other application to request XACML policies from a Policy Administration Point (PAP).
- 246 5. <samlp:Response> A standard SAML protocol element that MUST be used to return
 247 XACMLPolicy Assertions in response to an <xacml-samlp:XACMLPolicyQuery>. An
 248 instance of such a <samlp:Response> element is called an XACMLPolicy Response in this
 249 Profile.
- 250 Section 6 describes the use of XACMLAuthzDecision Assertion and XACMLPolicy Assertion instances 251 as advice in other SAML Assertions. The following element is described:
- <saml:Advice> A standard SAML element that MAY be used to convey XACMLPolicy
 Assertions or XACMLAuthzDecision Assertions as advice in other <saml:Assertion>
 instances.
- Section 7 describes the use of XACMLAuthzDecision Assertions as authorization tokens in a SOAP
 message exchange.
- Section 8 describes recommended non-normative SAML metadata for use with these XACML-relatedprotocols.
- 259 Section 9 describes requirements for conformance with various aspects of this Profile.

1.2 Diagram of SAML integration with XACML

- Figure 1 illustrates the XACML use model and the messages that can be used to communicate between
- the various components. Not all components or messages will be used in every implementation. Not
- shown, but described in this Profile, is the ability to use an XACMLPolicy Assertion or an
- 264 XACMLAuthzDecision Assertion in a <saml:Advice> instance.

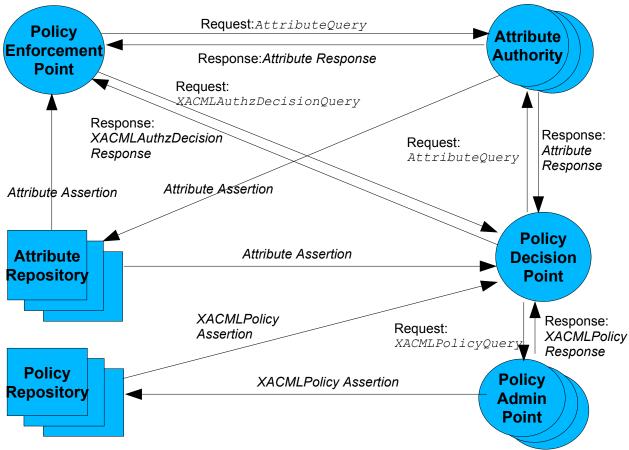


Figure 1: Components and messages in a integration of SAML with XACML

This Profile describes all these message elements, and describes how to use them, along with other aspects of using SAML with XACML.

1.3 Backwards compatibility

This Profile requires no changes or extensions to XACML, but does define extensions to SAML. The Profile may be used with XACML 1.0, 1.1, 2.0, or 3.0. Separate versions of the Profile schemas are used with each version of XACML as described in Section 1.5.

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272 **1.4 Terminology**

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119 [RFC 2119]

AA – Attribute Authority. An entity that binds attributes to identities. Such a binding may be expressed using a SAML Attribute Assertion with the Attribute Authority as the issuer.

Attribute - In this Profile, the term "Attribute", when the initial letter is capitalized, may refer to either an

279 XACML Attribute or to a SAML Attribute. The term will always be preceded with the type of Attribute 280 intended. • An XACML Attribute is a typed name/value pair, with other optional information, specified using an <xacml-context:Attribute> instance. An XACML Attribute is associated with an entity or topic identity by the XACML Attribute's position within a particular Attribute group in the XACML Request.

- A SAML Attribute is a name/value pair, with other optional information, specified using a <saml:Attribute> instance. A SAML Attribute is associated with a particular subject by its
- inclusion in a SAML Attribute Assertion that contains a <saml:Subject> instance. The SAML
 Subject may correspond to any XACML Attribute group.

Attribute group – In this Profile, the term "Attribute group" is used to describe a collection of XACML
 Attributes in an XACML Request Context that are associated with a particular entity. In XACML 1.0, 1.1,
 and 2.0, there is a fixed number of such collections, called Subject Attributes, Resource Attributes,
 Action Attributes, and Environment Attributes. In XACML 3.0, the number and identifiers of such
 collections is extensible, but there are standard identifiers that correspond to the fixed collections defined
 in previous versions of XACML.

attribute – In this Profile, the term "attribute", when not capitalized, refers to a generic attribute or
 characteristic unless it is preceded by the term "XML". An "XML attribute" is a syntactic component in
 XML that occurs inside the opening tag of an XML element.

- 297 Attribute Assertion A <saml:Assertion> instance that contains a
- 298 <saml:AttributeStatement> instance.
- 299 Attribute Response A <samlp:Response> instance that contains a SAML Attribute Assertion.

PAP – Policy Administration Point. An abstract entity that issues authorization policies that are used by
 a Policy Decision Point (PDP).

PDP - Policy Decision Point. An abstract entity that evaluates an authorization decision request against
 one or more policies to produce an authorization decision.

PEP – Policy Enforcement Point. An abstract entity that enforces access control for one or more
 resources. When a resource access is attempted, a PEP sends an access request describing the
 attempted access to a PDP. The PDP returns an access decision that the PEP then enforces.

policy – A set of rules indicating the conditions under which an access is permitted or denied. XACML has two different schema elements used for policies: <xacml:Policy> and <xacml:PolicySet>. An <xacml:PolicySet> is a collection of other <xacml:Policy> and <xacml:PolicySet> elements. An <xacml:Policy> contains actual access control rules.

- 311 XACMLAuthzDecision Assertion A <saml:Assertion> instance that contains an
- 312 XACMLAuthzDecision Statement.
- 313 XACMLAuthzDecision Response A <samlp:Response> instance that contains an
- 314 XACMLAuthzDecision Assertion.
- 315 XACMLAuthzDecision Statement A <saml:Statement> instance that is of type xacml-
- 316 saml:XACMLAuthzDecisionStatementType.
- 317 **XACMLPolicy Assertion –** A <saml:Assertion> instance that contains an XACMLPolicy Statement.
- 318 **XACMLPolicy Response –** A <samlp:Response> instance that contains an XACMLPolicy Assertion.
- 319 XACMLPolicy Statement A <saml:Statement> instance that is of type xacml-
- 320 saml:XACMLPolicyStatementType.

321 **1.5 Namespaces**

322 Normative

323 The following namespace prefixes are used in the schema fragments:

Prefix	Namespace
xacml	The XACML policy namespace.
xacml-context	The XACML context namespace.
xacml-saml	XACML extensions to the SAML 2.0 Assertion schema namespace.
xacml-samlp	XACML extensions to the SAML 2.0 Protocol schema namespace.
xacml-samlm	urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:schema:metadata
saml	urn:oasis:names:tc:SAML:2.0:assertion
samlp	urn:oasis:names:tc:SAML:2.0:protocol
md	urn:oasis:names:tc:SAML:2.0:metadata
ds	http://www.w3.org/2000/09/xmldsig#
xsi	http://www.w3.org/2001/XMLSchema-instance
wsse	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss- wssecurity-secext-1.0.xsd or http://docs.oasis- open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext- 1.1.xsd

This Profile is written for use with XACML 1.0 [XACML1], 1.1 [XACML1.1], 2.0 [XACML2], or 3.0

- 325 [XACML3]. Depending on the version of XACML being used, the xacml, xacml-context, xacml-
- 326 saml, and xacml-samlp namespace prefixes have the following values in the schemas:

327 XACML 1.0:

328 xacml="urn:oasis:names:tc:xacml:1.0:policy"

329 xacml-context="urn:oasis:names:tc:xacml:1.0:context"

- 330 xacml-saml=
- 331 "urn:oasis:names:tc:xacml:1.0:profile:saml2.0:v2:schema:assertion"
 332 xacml-samlp=
- 333 "urn:oasis:names:tc:xacml:1.0:profile:saml2.0:v2:schema:protocol"

335 XACML 1.1:

- 336 xacml="urn:oasis:names:tc:xacml:1.0:policy"
- 337 xacml-context="urn:oasis:names:tc:xacml:1.0:context"
- 338 xacml-

```
339 saml="urn:oasis:names:tc:xacml:1.1:profile:saml2.0:v2:schema:assertion"
340 xacml-
```

341 samlp="urn:oasis:names:tc:xacml:1.1:profile:saml2.0:v2:schema:protocol"

343 XACML 2.0:

- 344 xacml="urn:oasis:names:tc:xacml:2.0:policy:schema:os"
- 345 xacml-context="urn:oasis:names:tc:xacml:2.0:context:schema:os"
- 346 xacml-
- 347 saml="urn:oasis:names:tc:xacml:2.0:profile:saml2.0:v2:schema:assertion"
 348 xacml-
- 349 samlp="urn:oasis:names:tc:xacml:2.0:profile:saml2.0:v2:schema:protocol"

XACML 3.0: 351 xacml="urn:oasis:names:tc:xacml:3.0:schema:os" 352 xacml-context="urn:oasis:names:tc:xacml:3.0:schema:os" 353 354 NOTE: XACML 3.0 uses a single schema for both policies and context. 355 xacml-356 saml="urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:schema:assertion" 357 xacmlsamlp="urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:schema:protocol" 358

1.6 Normative References

361	[ADMIN]	E. Rissanen, ed., XACML v3.0 Administrative Policy Version 1.0
362 363	[RFC 2119]	S. Bradner. <i>Key words for use in RFCs to Indicate Requirement Levels</i> . IETF RFC 2119, March 1997. http://www.ietf.org/rfc/rfc2119.txt.
364 365 366	[SAML]	S. Cantor, et al., eds., Assertions and Protocols for the OASIS Security Assertion Markup Language (SAML) V2.0, http://www.oasis- open.org/committees/documents.php?wg_abbrev=security.
367 368 369	[SAML-PROFILE]	J. Hughes, et al., eds., <i>Profiles for the OASIS Security Assertion Markup Language (SAML) V2.0</i> , http://www.oasis- open.org/committees/documents.php?wg_abbrev=security.
370	[XACML1]	OASIS eXtensible Access Control Markup Language (XACML) Version 1.0
371	[XACML1.1]	OASIS eXtensible Access Control Markup Language (XACML) Version 1.1
372 373 374	[XACML2]	T. Moses, ed., OASIS eXtensible Access Control Markup Language (XACML) Version 2.0, OASIS Standard, 1 February 2005, http://docs.oasis- open.org/xacml/2.0/access_control-xacml-2.0-core-spec-os.pdf.
375 376	[XACML3]	E. Rissanen, ed., OASIS eXtensible Access Control Markup Language (XACML) Version 3.0
377 378	[XACML-SAML]	OASIS, the schemas associated with namespace <xacml-saml> that are a normative part of this Profile.</xacml-saml>
379 380	[XACML-SAMLP]	OASIS, the schemas associated with namespace $<\!\!{\tt xacml-samlp}\!>$ that are a normative part of this Profile.
381 382 383 384	[WSS]	OASIS, Web Services Security: SOAP Message Security 1.0 (WS-Security 2004), OASIS Standard December 2004, and WS-Security Core Specification 1.1, OASIS Standard February 2006, http://www.oasis-open.org/specs/index.php.
385		

1.7 Non-normative References

```
    387 [XACMLIntro]
    388 S. Proctor, A Brief Introduction to XACML, http://www.oasis-open.org/committees/download.php/2713/Brief_Introduction_to_XACML.html, 14
    389 March 2003.
    390
```

391 **2 Attributes**

In an XACML system, PEPs and PDP Context Handlers often need to retrieve attributes from on-line
 Attribute Authorities or from Attribute Repositories. SAML provides assertion and protocol elements that
 MAY be used for retrieval of attributes for use in an XACML Request Context. These elements include a
 <saml:Attribute> element for expressing a named attribute value, a

396 <saml:AttributeStatement> for holding a collection of <saml:Attribute> elements, and a

397 <saml:Assertion> element that can hold various kinds of statements, including a

398 <saml:AttributeStatement>. A <saml:Assertion> instance containing a

399 <saml:AttributeStatement> is called a SAML Attribute Assertion in this Profile. A SAML Attribute

Assertion includes the name of the attribute issuer, an optional digital signature for authenticating the attribute, an optional subject identity to which the attribute is bound, and optional conditions for use of the

assertion that may include a validity period during which the attribute is to be considered valid. Such an

assertion is suitable for storing attributes in an Attribute Repository, for transmitting attributes between an

Attribute Authority and an Attribute Repository, and for transmitting attributes between an Attribute Repository and a PEP or XACML Context Handler. For guerying an on-line Attribute Authority for

attributes, and for holding the response to that query, SAML defines <samlp:AttributeQuery> and

407 <samlp:Response> elements. In this Profile, an instance of such a <samlp:Response> element is

called a SAML Attribute Response. This Section describes the use of these SAML elements in an

409 XACML system.

410 Since the format of a <saml:Attribute> differs from that of an <xacml-context:Attribute>, a

411 mapping operation is required. This Section describes how to transform information contained in a

412 SAML Attribute Assertion into one or more <xacml-context:Attribute>instances.

413 2.1 Element < saml: Attribute>

The standard <saml:Attribute> element MAY be used in an XACML system for storing and transmitting attribute values.

In order to be used in an XACML Request Context, each <saml:Attribute> instance MUST comply
with the SAML XACML Attribute Profile, associated with namespace

418 urn:oasis:names:tc:SAML:2.0:profiles:attribute:XACML, in Section 8.5 of the Profiles for

419 the OASIS Security Assertion Markup Language (SAML 2.0) [SAML-PROFILE].

420 2.1.1 Mapping a <saml:Attribute> to an <xacml-context:Attribute>

421 An <xacml-context:Attribute> instance MUST be constructed from the corresponding

422 <saml:Attribute> instance contained in a SAML Attribute Assertion as follows. An XACML

implementation is NOT REQUIRED to instantiate the xacml-context:Attribute> instances

physically so long as the XACML PDP can obtain values for the XACML Attributes as if they had been
 instantiated in this way.

- 426 XACML AttributeId XML attribute
- The fully-qualified value of the <saml:Attribute>Name XML attribute MUST be used.
- 428 XACML DataType XML attribute

The fully-qualified value of the <saml:Attribute> DataType XML attribute MUST be used. If the

430 <saml:Attribute> DataType XML attribute is missing, the XACML DataType XML attribute

431 MUST be http://www.w3.org/2001/XMLSchema#string.

- 432 XACML Issuer XML attribute
- The string value of the <saml:Issuer> instance from the SAML Attribute Assertion MUST be used.
- 434 <xacml-context:AttributeValue>

The <saml:AttributeValue> value MUST be used as the value of the <xacml-
context:AttributeValue> instance.

437 Each <saml:Attribute> instance MUST be mapped to no more than one <xacml-

438 context:Attribute> instance. Not all <saml:Attribute> instances in a SAML Attribute Assertion 439 need to be mapped; a subset of <saml:Attribute> instances MAY be selected by a mechanism not 440 specified in this Profile. The Issuer of the SAML Attribute Assertion MUST be used as the Issuer for 441 each <xacml-context:Attribute> instance that is created from <saml:Attribute> instances in 442 that SAML Attribute Assertion.

443 The <xacml-context:Attribute> created from the SAML Attribute Assertion MUST be placed into 444 the Attribute group of the XACML Request Context that corresponds to the entity that is represented by 445 the <saml:Subject> in the SAML Attribute Assertion.

446 Non-normative Example: For example, if the SAML Attribute Assertion <saml:Subject> contains
 447 a <saml:NameIdentifier> instance, and the value of that NameIdentifier matches the value
 448 of the control control to the value of that NameIdentifier matches the value

448 of the <xacml-context:Attribute> having an AttributeId of

- 449 urn:oasis:names:tc:xacml:1.0:resource:resource-id, then <xacml-
- 450 context:Attribute> instances created from <saml:Attribute> instances in that SAML
- 451 Attribute Assertion MUST be placed into the <xacml-context:Resource> Attribute group or its 452 corresponding XACML 3.0 Attribute group.
- If a mapped <saml:Attribute> is placed into an <xacml-context:Subject> instance, then the XACML SubjectCategory XML attribute MUST also be consistent with the conceptual "subject category" of the entity that corresponds to the <saml:Subject> of the SAML Attribute Assertion that contained the <saml:Attribute>. The <saml:Subject> itself is NOT translated into an <xacmlcontext:Attribute> as part of processing a SAML Attribute Assertion; the <saml:Subject> identity is used only to determine the Attribute group in the XACML Request Context to which the <saml:Attribute> values should be added.

The mapping MUST be done in such a way that the semantics defined by SAML for the elements in a SAML Attribute Assertion have been adhered to. The mapping entity need not perform these semantic checks itself, but the system in which it operates MUST be such that the checks have been done before any <xacml:Attribute> created from a SAML Attribute Assertion is used by an XACML PDP. These semantic checks include, but are not limited to the following.

- Any NotBefore and NotOnOrAfter XML attributes in the SAML Attribute Assertion MUST be valid with respect to the <xacml:Request> in which the SAML-derived <xacml:Attribute> is used.
 This means that the XACML Attributes associated with the following AttributeId values in the <xacml:Request> MUST represent times and dates that are not before the NotBefore XMI
- 468 <xacml:Request> MUST represent times and dates that are not before the NotBefore XML 469 attribute value and not on or after the NotOnOrAfter XML attribute value:
- 409 urn:oasis:names:tc:xacml:1.0:environment:current-time
- 470 urn:oasis:names:tc:xacml:1.0:environment:current-time 471 urn:oasis:names:tc:xacml:1.0:environment:current-date
- 471 urn:oasis:names:tc:xacml:1.0:environment:current-date
 472 urn:oasis:names:tc:xacml:1.0:environment:current-dateTime
- The time period during which SAML Attribute Assertions are considered valid in XACML 3.0 depends

and the period during which SAME Attribute Assertions are considered valid in XACME 3.0 depends
 on whether the PDP is configured to retrieve XACML Attributes that were valid at the time a policy
 was issued or at the time the policy is being evaluated.

- The semantics defined by SAML for any <saml:AudienceRestrictionCondition> or
- 477 <saml:DoNotCacheCondition> elements MUST be adhered to.

478 2.2 Element < saml: AttributeStatement>

- 479 When a <saml:Attribute> instance is stored or transmitted in an XACML system, the instance MUST
- 480 be enclosed in a standard SAML <saml:AttributeStatement>. The definition and use of the
- 481 <saml:AttributeStatement> element MUST be as described in the SAML 2.0 standard [SAML].

482 2.3 Element <saml:Assertion>: SAML Attribute Assertion

When a <saml:AttributeStatement> instance is stored or transmitted in an XACML system, the
instance MUST be enclosed in a <saml:Assertion>. An instance of such a <saml:Assertion>
element is called a SAML Attribute Assertion in this Profile.

When used as a SAML Attribute Assertion in an XACML system, the definition and use of the
 <saml:Assertion> element MUST be as specified in the SAML 2.0 standard, augmented with the
 following requirements. Except as specified here, this Profile imposes no requirements or restrictions on
 the SAML Attribute Assertion element and its contents beyond those specified in SAML 2.0.

- 490 <saml:Issuer>[Required]
- 491 The <saml:Issuer> element is a required element for holding information about "the SAML 492 authority that is making the claim(s) in the assertion" [SAML].

In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided
 in the <saml:Issuer> element refer to the entity that signs the SAML Attribute Assertion.. It is up
 to the relying party to determine whether it has an appropriate trust relationship with the authority
 that signs the SAML Attribute Assertion.

- 497 When a SAML Attribute Assertion containing a <saml:Attribute> is used to construct an
- 498 <xacml-context:Attribute>, the string value of the <saml:Issuer> instance MUST be used 499 as the value of the <xacml-context:Attribute> Issuer XML attribute, so the
- 439 as the value of the *xachine* context. Activitience issuer XML attributes
 500 <saml:Issuer> value SHOULD be specified with this in mind.
- 501 <ds:Signature> [Optional]
- 502 The <ds:Signature> element is an optional element for holding "An XML Signature that 503 authenticates the assertion, as described in Section 5 of the SAML 2.0 specification [SAML]."
- 504 A <ds:Signature> instance MAY be used in a SAML Attribute Assertion. In order to support 3rd
- 505 party digital signatures, this Profile does NOT require that the identity provided in the
- <saml:Issuer> instance refer to the entity that signs the SAML Attribute Assertion. It is up to the
 relying party to determine whether it has an appropriate trust relationship with the authority that signs
 the SAML Attribute Assertion.
- A relying party SHOULD verify any signature included in the SAML Attribute Assertion and SHOULD
 NOT use information derived from the SAML Attribute Assertion unless the signature is verified
 successfully.
- 512 <saml:Subject>[Optional]

513 The <saml:Subject> element is an optional element used for holding "The subject of the

statement(s) in the assertion" [SAML]. Each SAML Attribute Assertion used in an XACML system
 MUST contain a <saml:Subject> element.

- 516 In a SAML Attribute Assertion containing a <saml:Attribute> that is to be mapped to an
- 517 <xacml-context:Attribute>, the <saml:Subject> instance MUST contain the identity of the 518 entity to which the <saml:Attribute> and its value are bound. For a mapped
- 519 <saml:Attribute> to be placed in a given XACML Attribute group, this identity SHOULD refer to
- 520 the same entity as any XACML Attribute that serves as an entity identifier in the Attribute group. For
- 521 example, the <saml:Subject> associated with a mapped SAML->XACML Attribute to be
- 522 placed in the XACML <xacml-context:Resource> Attribute group SHOULD refer to the same
- 523 entity as the value of any XACML Attribute having an AttributeId of
- 524 urn:oasis:names:tc:xacml:1.0:resource:resource-id that occurs in the same <xacml-525 context:Resource> instance. See Section 2.1 for more information.
- 526 <saml:Conditions>[Optional]
- 527 The <saml:Conditions> element is an optional element that is used for "conditions that MUST be 528 taken into account in assessing the validity of and/or using the assertion" [SAML].

The <saml:Conditions> instance SHOULD contain NotBefore and NotOnOrAfter XML 529 attributes to specify the limits on the validity of the SAML Attribute Assertion. If these XML attributes 530 are present, the relying party SHOULD ensure that an <xacml-context:Attribute> derived 531 from the SAML Attribute Assertion is used by a PDP for evaluating policies only when the value of 532 the <xacml-context:Attribute> in the XACML Request Context having an AttributeId of 533 urn:oasis:names:tc:xacml:1.0:environment:current-dateTime is contained within the 534 SAML Attribute Assertion's specified validity period. The time period during which SAML Attribute 535 Assertions are considered valid in XACML 3.0 depends on whether the PDP is configured to retrieve 536 XACML Attributes that were valid at the time a policy was issued or at the time the policy is being 537 evaluated. 538

539 2.4 Element < samlp: AttributeQuery>

- 540 The standard SAML <samlp:AttributeQuery> element MAY be used in an XACML system by a
- 541 PEP or XACML Context Handler to request SAML Attribute Assertions from an on-line Attribute Authority
- for use in an XACML Request Context. The definition and use of the <samlp:AttributeQuery> element MUST be as described in the SAML 2.0 standard [SAML].
- 544 Note that the SAML-defined ID XML attribute is a required component of a
- 545 <samlp:AttributeQuery>and can be used to correlate the <samlp:AttributeQuery> with the
- 546 corresponding SAML Attribute Response.

547 2.5 Element <samlp:Response>: SAML Attribute Response

The response to a <samlp:AttributeQuery> MUST be a <samlp:Response> instance containing a SAML Attribute Assertion that holds any <saml:AttributeStatement> instances that match the query. An instance of such a <samlp:Response> element is called a SAML Attribute Response in this Profile. The definition and use of the SAML Attribute Response MUST be as described in the SAML 2.0 standard, augmented with the following requirements. Except as specified here, this Profile imposes no requirements or restrictions on the SAML Attribute Response and its contents beyond those specified in SAML 2.0.

- 555 <saml:Issuer>[Optional]
- 556 The <saml:Issuer> element is an optional element that "Identifies the entity that generated the 557 response message" [SAML].

- In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer> element refer to the entity that signs the SAML Attribute Response. It is up to the relying party to determine whether it has an appropriate trust relationship with the authority that signs the SAML Attribute Response.
- 562 <ds:Signature>[Optional]
- 563 The <ds:Signature> element is an optional element for holding "An XML Signature that 564 authenticates the responder and provides message integrity" [SAML].

A <ds:Signature> instance MAY be used in a Attribute Response. In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer> refer to the entity that signs the SAML Attribute Response. It is up to the relying party to determine whether it has an appropriate trust relationship with the authority that signs the SAML Attribute Response.

570 A relying party SHOULD verify any signature included in the SAML Attribute Response and 571 SHOULD NOT use information derived from the SAML Attribute Response unless the signature is 572 verified successfully.

3 Conveying XACML Attributes in a SOAP Message

At the time a Web Service is invoked, the service MAY need to determine whether the client is authorized to invoke the service or to access resources that are involved in the service invocation. A Web service MAY use an XACML PDP to make such an authorization decision.

577 When a service evaluates an XACML authorization, access control, or privacy policy related to a SOAP 578 message, it MAY obtain the XACML Attributes required for the evaluation from various sources, including 579 databases, registries, trusted Attribute Authorities, and so on. This work is done in the application-580 dependent XACML Context Handler that provides XACML Attributes to the PDP on request. A Web 581 Services client or intermediary MAY include XACML <xacml-context:Attribute> instances in a 582 wsse:Security SOAP Header for use by this Context Handler. This Section of this Profile describes 583 two ways in which such <xacml-context:Attribute> instances MAY be provided.

584 3.1 <xacml-samlp:XACMLAuthzDecisionQuery>

The first way in which XACML Attributes MAY be provided to a service is by including an instance of the <xacml-samlp:XACMLAuthzDecisionQuery> (see Section 4.4) in the wsse:Security Header of a SOAP message. This query contains an XACML Request Context that SHOULD contain <xacmlcontext:Attribute> instances related to any resource access that the client will need in order to interact successfully with the service. The <xacml-samlp:XACMLAuthzDecisionQuery> SHOULD be signed by an entity that the Web Service trusts to authenticate the enclosed <xacmlcontext:Attribute> instances.

The Web Service MAY provide the <xacml-context:Attribute> instances in such an <xacmlsamlp:XACMLAuthzDecisionQuery> to an XACML PDP as part of evaluating XACML policies related to the Web Service interaction. The service SHOULD verify that the query is signed by an entity that the service trusts to authenticate the enclosed <xacml-context:Attribute> instances. It SHOULD verify that the IssueInstant of the <xacml-samlp:XACMLAuthzDecisionQuery> is close enough the the current time to meet the validity requirements of the service.

598 **3.2 SAML Attribute Assertion**

A second way in which XACML Attributes MAY be provided to a service is in the form of a SAML Attribute Assertion in the wsse: Security Header of a SOAP message. The SAML Attributes contained in the SAML Attribute Assertion MAY be converted to XACML Attributes as described in Section 2.1 of this Profile by an XACML Context Handler for use by a PDP associated with the Web Service in evaluating XACML policies related to the Web Service interaction.

4 Authorization Decisions

605 XACML defines <xacml-context:Request> and <xacml-context:Response> elements for describing an authorization decision request and the corresponding response from a PDP. In many 606 environments, instances of these elements need to be signed or associated with a validity period in order 607 to be used in an actual protocol between entities. Although SAML 2.0 defines a rudimentary 608 <samlp:AuthzDecisionQuery> in the SAML Protocol Schema and a rudimentary 609 <saml:AuthzDecisionStatement> in the SAML Assertion Schema, these elements are not able to 610 convey all the information that an XACML PDP is capable of accepting as part of its Request Context or 611 conveying as part of its XACML Response Context. In order to allow a PEP to use the SAML protocol 612 with full support for the XACML Request Context and XACML Response Context syntax, this Profile 613 defines one SAML extension type and one SAML extension element, and describes how they are used 614 with other standard SAML elements. 615

- <xacml-saml:XACMLAuthzDecisionStatementType> is a new SAML extension type that
 includes an XACML <xacml-context:Response> along with other optional information.
- A <saml:Statement> of type <xacml-saml:XACMLAuthzDecisionStatementType> (defined using xsi:type) MAY be used by a PDP Context Handler to convey an XACML <xacml-context:Response> along with other optional information. An instance of such a <saml:Statement> element is called an XACMLAuthzDecision Statement in this Profile.
- A <saml:Assertion> MUST be used to hold XACMLAuthzDecision Statements. An instance of such a <saml:Assertion> element is called an XACMLAuthzDecision Assertion in this Profile.
- A <xacml-samlp:XACMLAuthzDecisionQuery> is a new SAML extension element that MAY be used by a PEP to submit an XACML Request Context, along with other optional information, as a SAML protocol query to an XACML Context Handler.
- A <samlp:Response> containing an XACMLAuthzDecision Assertion MUST be used by an XACML
 Context Handler as the response to an <saml-samlp:XACMLAuthzDecisionQuery>. An instance
 of such a <samlp:Response> element is called an XACMLAuthzDecision Response in this Profile.

This Section defines and describes the usage of these types and elements. The schemas for the new type and element are contained in the [XACML-SAML] and [XACML-SAMLP] schema documents.

632 4.1 Type <xacml-saml:XACMLAuthzDecisionStatementType>

- The new <xacml-saml:XACMLAuthzDecisionStatementType> complex type contains an XACML Response Context along with related information. Use of this type is an alternative to use of the SAML-
- 635 defined <saml:AuthzDecisionStatementType>; this alternative allows an XACML Context Handler
- to use SAML with full support for XACML authorization decisions. An instance of a
- 637 <saml:Statement> element that is of this type (defined using xsi:type="xacml-
- saml:XACMLAuthzDecisionStatementType") is called an XACMLAuthzDecision Statement in this
 Profile.

```
<complexType name="XACMLAuthzDecisionStatementType">
<complexContent>
<extension base="saml:StatementAbstractType">
<sequence>
<element ref="xacml-context:Response"/>
<element ref="xacml-context:Request" minOccurs="0"/>
</sequence>
</extension>
</complexContent>
</complexContent>
```

- 640 The <xacml-saml:XACMLAuthzDecisionStatementType> complex type is an extension to the
- 641 SAML-defined <saml:StatementAbstractType>. It contains the following elements:
- 642 <xacml-context:Response>[Required]

643 An XACML Response Context created by an XACML PDP. This Response MAY be the result of 644 evaluating an XACML Request Context from an <xacml-samlp:XACMLAuthzDecisionQuery>.

645 <xacml-context:Request>[Optional]

An <xacml-context:Request> element containing <xacml-context:Attribute> instances
 that were used by the XACML PDP in evaluating policies to obtain the corresponding <xacml-
 context:Response>.

649 If the XACMLAuthzDecision Statement represents a response to an <xacml-

650 samlp:XACMLAuthzDecisionQuery>, and if the ReturnContext XML attribute in the <xacml-

651 samlp:XACMLAuthzDecisionQuery> instance is "true", then this element MUST be included; if

652 the ReturnContext XML attribute in the <xacml-samlp:XACMLAuthzDecisionQuery>

instance is "false", then this element MUST NOT be included. See the description of the $\$

654 ReturnContext XML attribute in Section 4.4 for a specification of the <xacml-

655 context:Attribute> instances that MUST be returned in this element when it is part of a

656 response to an <xacml-samlp:XACMLAuthzDecisionQuery>.

657 If the XACMLAuthzDecision Statement does not represent the response to an <xacml-

samlp:XACMLAuthzDecisionQuery>, then this element MAY be included. In this case, the PDP
 MUST determine which <xacml-context:Attribute> instances are included using criteria that

are outside the scope of this Profile.

661 4.2 Element <saml:Statement>: XACMLAuthzDecision Statement

662 A <saml:Statement> instance MAY be of type <xacml-

663 saml:XACMLAuthzDecisionStatementType> by using xsi:type as shown in the example in

664 Section 4.3. An instance of a <saml:Statement> element that is of type <xacml-

665 saml:XACMLAuthzDecisionStatementType> is called an XACMLAuthzDecision Statement in this

⁶⁶⁶ Profile. Any instance of an XACMLAuthzDecision Statement in an XACML system MUST be enclosed in

667 **a** <saml:Assertion>.

668 4.3 Element <saml:Assertion>: XACMLAuthzDecision Assertion

669 A <saml:Assertion> instance MAY contain an XACMLAuthzDecision Statement as shown in the 670 following non-normative example:

```
<saml:Assertion Version="2.0" ID="9812368"</pre>
       IssueInstant="2006-05-31T13:20:00.000">
    <saml:Issuer>https://XACMLPDP.example.com</saml:Issuer>
    <saml:Statement
          xsi:type="xacml-saml:XACMLAuthzDecisionStatementType">
        <xacml-context:Response>
            <xacml-context:Result>
                 <xacml-context:Decision>
                    NotApplicable
                 </xacml-context:Decision>
            </xacml-context:Result>
        </xacml-context:Response>
        <xacml-context:Request>
             . . . .
        </xacml-context:Request>
    </saml:Statement>
</saml:Assertion>
```

An instance of a <saml:Assertion> element containing an XACMLAuthzDecision Statement is called an XACMLAuthzDecision Assertion in this Profile.

This Profile imposes the following requirements and restrictions on the <saml:Assertion> element beyond those specified in SAML 2.0 when used as an XACMLAuthzDecision Assertion.

675 <saml:Issuer> [Required]

The <saml:Issuer> element is a required element for holding information about "the SAML authority that is making the claim(s) in the assertion" [SAML].

In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided

in the <saml:Issuer> element refer to the entity that signs the XACMLAuthzDecision Assertion. It is up to the relying party to determine whether it has an appropriate trust relationship with the

- authority that signs the XACMLAuthzDecision Assertion.
- 682 <ds:Signature>[Optional]
- 683 The <ds:Signature> element is an optional element for holding "An XML Signature that 684 authenticates the assertion, as described in Section 5 of the SAML 2.0 core specification [SAML]."

A <ds:Signature> instance MAY be used in a <saml:Assertion>. In order to support 3rd party
 digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer>
 instance refer to the entity that signs the XACMLAuthzDecision Assertion. It is up to the relying party
 to determine whether it has an appropriate trust relationship with the authority that signs the
 Assertion .

A relying party SHOULD verify any signature included in the XACMLAuthzDecision Assertion and
 SHOULD NOT use information derived from the Assertion unless the signature is verified
 successfully.

693 <saml:Subject>[Optional]

694 The <saml:Subject> element MUST NOT be included in an XACMLAuthzDecision Assertion.

- Instead, the Subject of an XACMLAuthzDecision Assertion is specified in the XACML Request
- 696 Context of the corresponding authorization decision request. This corresponding XACML Request

697 Context MAY be included in the XACMLAuthzDecision Statement as described in Section 4.1.

698 <saml:Conditions> [Optional]

- The <saml:Conditions> element is an optional element that is used for "conditions that MUST be taken into account in assessing the validity of and/or using the assertion" [SAML].
- 701 The <saml:Conditions> instance SHOULD contain NotBefore and NotOnOrAfter XML
- attributes to specify the limits on the validity of the XACMLAuthzDecision Assertion. If these XML
- attributes are present, the relying party SHOULD ensure that an <xacml-context:Response>
- taken from the XACMLAuthzDecision Assertion is used only during the Assertion's specified validity
 period.

706 4.4 Element <xacml-samlp:XACMLAuthzDecisionQuery>

- 707 The <xacml-samlp:XACMLAuthzDecisionQuery> protocol element MAY be used by a PEP to
- request an authorization decision from an XACML PDP. This element is an alternative to the SAML-
- $\label{eq:cond} \textit{defined} < \texttt{samlp:AuthzDecisionQuery}; \textit{this alternative allows the PEP to use the full capabilities of}$
- an XACML PDP. It allows use of the SAML query protocol to convey an XACML Request Context along
- 711 with related information.

```
<element name="XACMLAuthzDecisionQuery"</pre>
         xsi:type="xacml-samlp:XACMLAuthzDecisionQueryType" />
<complexType name="XACMLAuthzDecisionQueryType">
    <complexContent>
        <extension base="samlp:RequestAbstractType">
            <sequence>
                 <element ref="xacml-context:Request"/>
                 <element ref="xacml-samlp:AdditionalAttributes"</pre>
minOccurs="0" maxOccurs="1"/>
                 <element ref="xacml:Policy"</pre>
                     minOccurs="0" maxOccurs="unbounded" />
                 <element ref="xacml:PolicySet"</pre>
                     minOccurs="0" maxOccurs="unbounded" />
                 <element ref="xacml-saml:ReferencedPolicies"</pre>
minOccurs="0" maxOccurs="1" />
           </sequence>
           <attribute name="InputContextOnly"
                           type="boolean"
                           use="optional"
                           default="false"/>
           <attribute name="ReturnContext"
                           type="boolean"
                           use="optional"
                           default="false"/>
           <attribute name="CombinePolicies"
                           type="boolean"
                           use="optional"
                           default="true"/>
        </extension>
    </complexContent>
</complexType>
```

- 712 The <xacml-samlp:XACMLAuthzDecisionQuery> element is of <xacml-
- 713 samlp:XACMLAuthzDecisionQueryType> complex type, which is an extension to the SAML-defined
- 714 <samlp:RequestAbstractType>.

715 The <xacml-samlp:XACMLAuthzDecisionQuery> element contains the following XML attributes and 716 elements in addition to those defined for the <samlp:RequestAbstractType>:

717 InputContextOnly [Default "false"]

This XML attribute governs the sources of information that the PDP is allowed to use in making its authorization decision. If the value of this XML attribute is "true", then the authorization decision MUST be made solely on the basis of information contained in the <xacml-

samlp:XACMLAuthzDecisionQuery>; external XACML Attributes MUST NOT be used. If the

value of this XML attribute is "false", then the authorization decision MAY be made on the basis of

- 723 XACML Attributes not contained in the <xacml-samlp:XACMLAuthzDecisionQuery>.
- 724 ReturnContext [Default "false"]

This XML attribute allows the PEP to request that an <xacml-context:Request> instance be
 included in the XACMLAuthzDecision Statement resulting from the query. It also governs the
 contents of that <xacml-context:Request> instance.

728 If the value of this XML attribute is "true", then the PDP MUST include an <xacmlcontext:Request> instance in the XACMLAuthzDecision Statement in the XACMLAuthzDecision

- 730 Response. This <xacml-context:Request> instance MUST include all those attributes supplied
- by the PEP in the <xacml-samlp:XACMLAuthzDecisionQuery> that were used in making the
- authorization decision. The PDP MAY include additional attributes in this <xacml-</pre>
- context:Request> instance, such as external attributes obtained by the PDP and used in making
 the authorization decision, or other attributes known by the PDP that may be useful to the PEP in
 making subsequent authorization decision gueries.
- If this XML attribute is "false", then the PDP MUST NOT include an <xacml-context:Request>
 instance in the XACMLAuthzDecision Statement in the XACMLAuthzDecision Response.
- 738 CombinePolicies [Default "true"]
- This XML attribute allows the PEP to specify whether policies supplied in <xacml:Policy> and
 <xacml:PolicySet> elements of the <xacml-samlp:XACMLAuthzDecisionQuery> are to be
 combined with other policies available to the PDP during evaluation.
- If the attribute value is "true", then the PDP MUST insert all policies passed in the <xacml-742 samlp:XACMLAuthzDecisionQuery> into the set of policies or policy sets that define the PDP as 743 specified in Section 7.13 of the XACML 2.0 core specification [XACML2]. They MUST be combined 744 with the other policies using the policy combining algorithm that defines the PDP as specified in 745 746 Section 7.13 of the XACML 2.0 core specification [XACML2]. If the policy combining algorithm that defines the PDP is one in which element order is considered, then the policies passed in the 747 XACMLAuthzDecision Query MUST be considered in the order in which they appear in the <xacml-748 samlp:XACMLAuthzDecisionQuery> and MUST be considered as following all other policies that 749 define the PDP. 750
- 751 TBD: Issue#72 describes a problem in combining policies passed in this way in connection with 752 XACML 3.0 policy reduction.
- If the attribute value is "false", then there MUST be no more than one <xacml:Policy> or <xacml:PolicySet> passed in the <xacml-samlp:XACMLAuthzDecisionQuery>. This policy MUST be treated as the policy that defines the PDP as specified in Section 7.13 of the XACML 2.0 core specification [XACML2] for evaluation of the <xacml-context:Request> passed in the <xacml-samlp:XACMLAuthzDecisionQuery>. It MUST NOT be used to evaluate any other <xacml-context:Request> instances unless provided to the PDP independent of the particular <xacml-context:Request>.
- 760 <xacml-context:Request>[Required]
- 761 An XACML Request Context that is to be evaluated.
- 762 <xacml-samlp:AdditionalAttributes> [Zero or One]
- 763 Entity descriptions and corresponding <xacml-context:Attribute> instances that apply to
 764 them. This element is used only with XACML 3.0 Administrative Policy [ADMIN] functionality.
- 765 <xacml:Policy>[Any Number]
- 766 Optional XACML Policy instances that MUST be used only for evaluating this authorization decision 767 request.
- If the CombinePolicies XML attribute is "true", then the PDP MAY choose to use such XACML
 Policy instances.
- 770 If the CombinePolicies XML attribute is "false", then the PDP MUST use this XACML Policy
- instance. There MUST be only one such XACML Policy instance and there MUST NOT be any
- 772 XACML PolicySet instances in this <xacml-samlp:XACMLAuthzDecisionQuery> instance.

- 773 <xacml:PolicySet>[Any Number]
- 774 Optional XACML PolicySet instances that MUST be used only for evaluating this authorization 775 decision request.
- If the CombinePolicies XML attribute is "true", then the PDP MAY choose to use such XACML
 PolicySet instances.

If the CombinePolicies XML attribute is "false", then the PDP MUST use this XACML PolicySet
 instance. There MUST be only one such XACML PolicySet instance and there MUST NOT be any
 XACML Policy instances in this XACMLAuthzDecision Query.

781 <xacml-saml:ReferencedPolicies> [Zero or One]

With the exception of XACML Policy and PolicySet instances that the receiver of the
 XACMLAuthzDecision Statement is not authorized to view, this element MUST contain all XACML
 Policy and PolicySet instances required to resolve all <xacml:PolicySetIdReference> or
 <xacml:PolicyIdReference> instances contained in the XACMLAuthzDecision Statement,

786 including those in the <xacml-saml:ReferencedPolicies> instance itself. The values of the

787 PolicyId and PolicySetId XML attributes of the policies included in the <xacml-

788 saml: ReferencedPolicies> instance MUST exactly match the values contained in the

- 789 corresponding <xacml:PolicySetIdReference> or <xacml:PolicyIdReference>
- 790 instances.

791 4.5 Element <xacml-samlp:AdditionalAttributes>

This element applies only for use with XACML 3.0 Administrative Policy [ADMIN], and requires an XACML 3.0 PDP.

In some cases it may be useful for the PEP to provide attributes for delegates with the authorization decision request. Since the Request Contexts used in reduction are not formed until after the access request is submitted to the PDP, the delegate attributes need to be treated differently from the attributes part of the access **Request Context**. The following defines elements that MAY be used to submit XACML Attributes for this purpose. The XACML Attributes MUST be made available by the Context Handler when the reduction Request Contexts are created.

800	<pre><element <="" name="AdditionalAttributes" pre=""></element></pre>
801	type="xacml-samlp: AdditionalAttributesType"/>
802	<complextype name="AdditionalAttributesType"></complextype>
803	<sequence></sequence>
804	<element <="" minoccurs="0" ref="xacml-samlp:AssignedAttributes" th=""></element>
805	maxOccurs="unbounded"/>
806	
807	

808 The <AdditionalAttributes> element is of AdditionalAttributesType complex type.

- 809 The <AdditionalAttributes> element contains the following elements:
- 810 <AssignedAttributes> [Required]
- Assignment of a set of XACML Attributes to specified delegate entities.

812 4.6 Element <xacml-samlp:AssignedAttributes>

This element is used only with XACML 3.0 Administrative Policy [ADMIN], and requires an XACML 3.0 PDP.

The <AssignedAttributes> element MUST contain XACML Attributes that apply to delegate entities identified by the <xacml-samlp:Holders> element.

```
<element name="AssignedAttributes" type="xacml-</pre>
817
818
         samlp:AssignedAttributesType"/>
         <complexType name="AssignedAttributesType">
819
820
           <sequence>
              <element ref="xacml-samlp:Holders"/>
821
822
              <element ref="xacml-samlp:HolderAttributes"/>
823
           </sequence>
824
         </complexType>
```

- 825 The <AssignedAttributes> element is of AssignedAttributesType complex type.
- 826 The <AssignedAttributes> element contains the following elements:
- 827 <xacml-samlp:Holders> [Required]
- The identities of the delegate entities to which the provided XACML Attributes apply.
- 829 <xacml-samlp:HolderAttributes> [Required]
- 830 The XACML Attributes of the delegate entity.

4.7 Element < xacml-samlp:Holders>

This element is used only with XACML 3.0 Administrative Policy [ADMIN], and requires an XACML 3.0 PDP.

834 The <Holders> element MUST identify the delegate entities to which the provided <xacml-

835 samlp:HolderAttributes> elements apply.

```
836 <element name="Holders" type="xacml-samlp:HoldersType"/>
837 <complexType name="HoldersType">
838 <sequence>
839 <element ref="xacml:Match" maxOccurs="unbounded"/>
840 </sequence>
841 </complexType>
```

- 842 The <xacml-samlp:Holders> element is of <xacml-samlp:HoldersType> complex type.
- 843 The <xacml-samlp:Holders> element contains the following elements:
- 844 <xacml:Match> [One to many, required]
- 845 Matches the delegate entities to which the XACML Attributes in the associated <xacml-846 samlp:HolderAttributes> element apply.
- TBD: the details of the <Holders> element are not specified yet since the core schema is in the process of being rewritten.

849 4.8 Element < xacml-samlp:HolderAttributes>

This element is used only with XACML 3.0 Administrative Policy [ADMIN], and requires an XACML 3.0 PDP.

The <xacml-samlp:HolderAttributes> element MUST contain XACML Attributes that apply to the delegate entities identified in the corresponding <xacml-samlp:Holders> element.

```
854 <element name="HolderAttributes" type="xacml-samlp:HolderAttributesType"/>
855 <complexType name="HolderAttributesType">
856 <sequence>
857 <element ref="xacml-context:Attribute"
858 minOccurs="0" maxOccurs="unbounded"/>
859 </sequence>
860 </complexType>
```

861 The <xacml-samlp:HolderAttributes> element is of <xacml-samlp:HolderAttributesType> 862 complex type.

- 863 The <xacml-samlp:HolderAttributes> element contains the following elements:
- 864 <xacml-context:Attribute> [any number]
- 865 An XACML Attribute of the delegate entities identified in the corresponding <xacml-866 samlp:Holders> element.

867 4.9 Element <xacml-saml:ReferencedPolicies>

An instance of this element MUST be used to contain copies of all policies referenced from <xacml:Policy> or <xacml:PolicySet> instances included in an XACMLAuthzDecision Statement or in an XACMLPolicy Statement, as well as copies of all policies referenced from other policies included in the <xacml-saml:ReferencedPolicies> instance..

```
872
         <element name="ReferencedPolicies"</pre>
873
              type="xacml-saml:ReferencedPoliciesType"/>
874
         <complexType name="ReferencedPoliciesType">
875
              <sequence>
876
                  <choice minOccurs="0" maxOccurs="unbounded">
                      <element ref="xacml:Policy"/>
877
878
                      <element ref="xacml:PolicySet"/>
                  </choice>
879
880
              </sequence>
881
         </complexType>
```

882 The <xacml-saml:ReferencedPolicies> element is of <xacml-
883 saml:ReferencedPoliciesType> complex type.

884 The <xacml-saml:ReferencedPolicies> element contains the following elements:

- 885 <xacml:Policy>[any number]
- 886 A single <xacml: Policy> that is referenced using an <xacml: PolicyIdReference> from

887 another <xacml: Policy> or <xacml: PolicySet> instance included in an XACMLAuthzDecision

- 888 Statement or XACMLPolicy Statement. The value of the PolicyId XML attribute in the
- 889 <xacml:Policy> MUST be equal to the value of the corresponding
- 890 <xacml:PolicyIdReference> element.
- 891 <xacml:PolicySet> [any number]

- 892 A single <xacml:PolicySet> that is referenced using an <xacml:PolicySetIdReference>
- from another <xacml: Policy> or <xacml: PolicySet> instance included in an
- 894 XACMLAuthzDecision Statement or XACMLPolicy Statement. The value of the PolicySetId XML
- attribute in the <xacml: PolicySet> MUST be equal to the value of the corresponding
- 896 <xacml:PolicySetIdReference> element.

4.10 Element <samlp:Response>: XACMLAuthzDecision Response

A <samlp:Response> instance MAY contain an XACMLAuthzDecision Assertion as shown in the following non-normative example:

```
<samlp:Response Version="2.0" ID="9812368"
       IssueInstant="2006-05-31T13:20:00.000">
   <saml:Assertion Version="2.0" ID="9812368"</pre>
       IssueInstant="2006-05-31T13:20:00.000">
      <saml:Issuer>https://XACMLPDP.example.com</saml:Issuer>
      <saml:Statement
          xsi:type="xacml-saml:XACMLAuthzDecisionStatementType">
        <xacml-context:Response>
            <xacml-context:Result>
                <xacml-context:Decision>
                   NotApplicable
                </xacml-context:Decision>
            </xacml-context:Result>
        </xacml-context:Response>
        <xacml-context:Request>
            . . . .
        </xacml-context:Request>
      </saml:Statement>
   </saml:Assertion>
</samlp:Response>
```

- An instance of a <samlp:Response> element containing an XACMLAuthzDecision Assertion is called an XACMLAuthzDecision Response in this Profile. Such a Response MUST be used as the response to
- 902 an <xacml-samlp:XACMLAuthzDecisionQuery>.
- This Profile imposes the following requirements or restrictions on the <samlp:Response> element in addition to those specified in SAML 2.0 when used as an XACMLAuthzDecision Response.
- 905 <saml:Issuer>[Optional]
- The <saml:Issuer> element is an optional element that "Identifies the entity that generated the response message" [SAML].
- In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer> element refer to the entity that signs the XACMLAuthzDecision Response. It
- in the <saml:Issuer> element refer to the entity that signs the XACMLAuthzDecision Response
 is up to the relying party to determine whether it has an appropriate trust relationship with the
- authority that signs the Response.
- 912 <ds:Signature>[Optional]
- 913 The <ds:Signature> element is an optional element for holding "An XML Signature that 914 authenticates the responder and provides message integrity" [SAML].
- A <ds:Signature> instance MAY be used in a XACMLAuthzDecision Response. In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the

- 917 <saml:Issuer> instance refer to the entity that signs the XACMLAuthzDecision Response. It is up 918 to the relying party to determine whether it has an appropriate trust relationship with the authority
- 919 that signs the Response.
- A relying party SHOULD verify any signature included in the XACMLAuthzDecision Response and SHOULD NOT use information derived from the Response unless the signature is verified successfully.
- 923 <saml:Assertion> [Any Number]
- 924 <saml:Assertion> instances that MAY include one or more XACMLAuthzDecision Assertions that 925 represent responses to associated queries.
- 926 <samlp:StatusCode>[Required]
- 927 The <samlp:StatusCode> element is a component of the <samlp:Status> element in the 928 <samlp:Response>.

929 In the response to an <xacml-samlp:XACMLAuthzDecisionQuery>, the <samlp:StatusCode> 930 Value XML attribute MUST depend on the value of the <xacml-context:StatusCode> instance 931 of the XACML Response Context <xacml-context:Status> instance as follows:

- 932 urn:oasis:names:tc:SAML:2.0:status:Success
- 933 This value for the <samlp:StatusCode> Value XML attribute MUST be used if and only if the 934 <xacml-context:StatusCode> value is urn:oasis:names:tc:xacml:1.0:status:ok.
- 935 urn:oasis:names:tc:SAML:2.0:status:Requester
- 936This value for the <samlp:StatusCode> Value XML attribute MUST be used when the
- 937 <xacml-context:StatusCode> value is
- 938 urn:oasis:names:tc:xacml:1.0:status:missing-attribute or when the <xacml-939 context:StatusCode> value is urn:oasis:names:tc:xacml:1.0:status:syntax-
- 939 context:StatusCode> value is urn:oasis:names:tc:xacml:1.0:status:synt 940 error due to a syntax error in the <xacml-context:Request>.
- 941 urn:oasis:names:tc:SAML:2.0:status:Responder
- 942 This value for the <samlp:StatusCode> Value XML attribute MUST be used when the
- 943 <xacml-context:StatusCode> value is
- 944urn:oasis:names:tc:xacml:1.0:status:syntax-error due to a syntax error in an945<xacml:Policy> or <xacml:PolicySet>. Note that not all syntax errors in policies will be946detected in conjunction with the processing of a particular query, so not all policy syntax errors947will be reported this way.
- 948 urn:oasis:names:tc:SAML:2.0:status:VersionMismatch
- 949This value for the <samlp:StatusCode> Value XML attribute MUST be used only when the950SAML interface at the PDP does not support the version of the SAML schema used in the query.
- 951 InResponseTo [Optional]

952This optional XML attribute is "A reference to the identifier of the request to which the response953corresponds." When the XACMLAuthzDecision Response is issued in response to an954XACMLAuthzDecision Query, this XML attribute MUST contain the value of the ID XML attribute955from the XACMLAuthzDecision Query to which this is a response. This allows the receiver to956correlate the XACMLAuthzDecision Response with the corresponding XACMLAuthzDecision957Query. The SAML-defined ID XML attribute is a required component of an instance of the

958 <samlp:RequestAbstractType> of which the <xacml-959 samlp:XACMLAuthzDecisionOuerv> is an extension.

4.11 Functional Requirements for the <xacml-960 samlp:AssignedAttributes> Element 961

962

TBD: the matching of the <Holders> element against the Request Context is not defined yet since the core schema (including the Request Context) is being rewritten. 963

964

965 During processing of the provided access request, if the <xacml-samlp:Holders> element of a provided <xacml-samlp:AssignedAttributes> element matches a section of the XACML Request 966 Context, then the XACML Context Handler MUST make the XACML Attributes in the <xacml-967 samlp:HolderAttributes> element appear in that section of the XACML Request Context. Any 968 inheritance between <xacml-samlp:AssignedAttributes> elements is not deduced. 969

970 The matching of additional XACML Attributes MUST be made against all Request Contexts involved in 971 the processing of the XACMLAuthzDecision Query, including the provided access request itself and any Request Contexts formed as part of reduction. 972

The provided XACML Attributes MUST be used only in the evaluation of the provided access request 973

and any derived Request Contexts, including reduction, and MUST NOT be used in evaluation of 974

requests not related to the provided access request unless associated with those other requests 975

independent of the <xacml-samlp:XACMLAuthzDecisionQuery>. 976

Note that, to implement this functionality, if additional XACML Attributes are fetched by the Context 977

Handler during processing, the implementation MUST test whether those additional XACML Attributes 978

979 provide a match for a <xacml-samlp: Holders> element. It is also conceivable that the XACML

980 Attributes provided in the <xacml-samlp:HolderAttributes> element may trigger XACML

Attributes from other attribute sources available to the Context Handler. An implementation MUST be 981

982 prepared to handle any circular dependencies that may arise.

983 **5** Policies

984 XACML defines the <xacml: Policy> and <xacml: PolicySet> elements for expressing policies. In many environments, instances of these elements need to be stored or transmitted between entities in an 985 XACML system. Such instances may need to be signed or associated with a validity period. SAML is 986 intended to provide this functionality for security-related assertions, but SAML does not define any 987 Protocol or Assertion elements for policies. In order to allow entities in an XACML system to use SAML 988 assertions and protocols to store, transmit, and query for XACML policies, this Profile defines one SAML 989 extension type and one SAML extension element, and describes how they are used with other standard 990 SAML elements. 991

- 992 <xacml-saml:XACMLPolicyStatementType> is a new SAML extension type that includes 993 XACML policies.
- 994 A <saml:Statement> defined using xsi:type="xacml-saml:XACMLPolicyStatementType"
 995 MAY be used in an XACML system to store or convey XACML policies. An instance of a
 996 <saml:Statement> element defined using this type is called an XACMLPolicy Statement in this
 997 Profile.
- 998 A <saml:Assertion> MUST be used to hold XACMLPolicy Statements. An instance of such a
 999 <saml:Assertion> element is called an XACMLPolicy Assertion in this Profile.
- An <xacml-samlp:XACMLPolicyQuery> is a new SAML extension element that MAY be used by
 a PDP or other entity to request XACML policies as a SAML protocol query.
- A <samlp:Response> containing an XACMLPolicy Assertion that MUST be used in response to an
 <xacml-samlp:XACMLPolicyQuery>. It MAY be used to transmit XACML policies in other
 contexts. An instance of such a <samlp:Response> is called an XACMLPolicy Response in this
 Profile.

1006 This Section defines and describes the usage of these types and elements. The schemas for the new 1007 type and element are contained in the [XACML-SAML] and [XACML-SAMLP] schema documents.

1008 5.1 Type <xacml-saml:XACMLPolicyStatementType>

1009 The <xacml-saml:XACMLPolicyStatementType> complex type contains XACML Policy and or 1010 XACML PolicySet elements. An instance of a <saml:Statement> element that is of this type is called 1011 an XACMLPolicy Statement in this Profile.

- 1012 The <xacml-saml:XACMLPolicyStatementType> complex type is an extension to the SAML-1013 defined <saml:StatementAbstractType>. It contains the following elements.
- 1014 <xacml:Policy>[Any Number]

1015 If the XACMLPolicy Statement represents a response to an <xacml-samlp:XACMLPolicyQuery>, 1016 then this element MUST contain one of the <xacml:Policy> instances that meet the specifications 1017 of the associated <xacml-samlp:XACMLPolicyQuery>. Otherwise, this element MAY contain an 1018 arbitrary <xacml:Policy> instance.

1019 <xacml:PolicySet>[Any Number]

If the XACMLPolicy Statement represents a response to an <xacml-samlp:XACMLPolicyQuery>,
 then this element MUST contain one of the <xacml:PolicySet> instances that meet the
 specifications of the associated <xacml-samlp:XACMLPolicyQuery>. Otherwise, this element
 MAY contain an arbitrary <xacml:PolicySet> instance.

- 1024 <xacml-saml:ReferencedPolicies> [Zero or One]
- With the exception of XACML Policy and PolicySet instances that the receiver of the XACMLPolicy
 Statement is not authorized to view, this element MUST contain all XACML Policy and PolicySet
 instances required to resolve all <xacml:PolicySetIdReference> or
- 1028<xacml:PolicyIdReference> instances contained in the XACMLPolicy Statement, including1029those in the <xacml-saml:ReferencedPolicies> instance itself. The values of the PolicyId
- 1030 and PolicySetId XML attributes of the policies included in the xacml-
- 1031 saml:ReferencedPolicies> instance MUST exactly match the values contained in the 1032 corresponding <xacml:PolicySetIdReference> or <xacml:PolicyIdReference>
- instances.

Subject to authorization and availability, if the XACMLPolicy Statement is issued in response to an
 <xacml-samlp:XACMLPolicyQuery>, there MUST be exactly one <xacml:Policy> element
 included for every XACML Policy that satisfies the XACMLPolicy Query, and there MUST be exactly one
 <xacml:PolicySet> element included for every XACML PolicySet that satisfies the XACMLPolicy
 Query . The responder MUST return all XACML policies available to the responder that satisfy the
 <xacml-samlp:XACMLPolicyQuery> and that the requester is authorized to receive.

- 1040 If the XACMLPolicy Statement is issued in response to an <xacml-samlp:XACMLPolicyQuery>, and 1041 there are no <xacml:Policy> or <xacml:PolicySet> instances that meet the specifications of the 1042 associated <xacml-samlp:XACMLPolicyQuery>, then there MUST be exactly one empty
- 1043 XACMLPolicy Statement included in the response.

1044 5.2 Element <xacml-saml:ReferencedPolicies>

- 1045 An instance of this element MUST be used to contain copies of all policies referenced from
- 1046 <xacml:Policy> or <xacml:PolicySet> instances included in the <xacml-
- 1047 samlp:XACMLPolicyQuery>, as well as copies of all policies referenced from other policies included in
- 1048 the <xacml-saml:ReferencedPolicies> instance.
- 1049 See Section 4.9 for a description of the <xacml-saml:ReferencedPolicies> element.

1050 5.3 Element <saml:Statement>: XACMLPolicy Statement

- 1051 A <saml:Statement> instance MAY be of defined to be of type <xacml-
- 1052 saml:XACMLPolicyStatementType> by using xsi:type="xacml-
- 1053 saml:XACMLPolicyStatementType" as shown in the example in Section 5.4. such an instance of a
- 1054 <saml:Statement> element is called an XACMLPolicy Statement in this Profile. Any instance of an
- 1055 XACMLPolicy Statement in an XACML system MUST be enclosed in a <saml:Assertion>.

1056 5.4 Element <saml:Assertion>: XACMLPolicy Assertion

1057 A <saml:Assertion> instance MAY contain an XACMLPolicy Statement as shown in the following 1058 non-normative example:

```
<saml:Assertion Version="2.0" ID="9812368"
IssueInstant="2006-05-31T13:20:00.000">
<saml:Issuer>https://XACMLPDP.example.com</saml:Issuer>
<saml:Statement
xsi:type="xacml-saml:XACMLPolicyStatementType">
<xacml:Policy PolicyId="policyStatementType">
<xacml:Policy PolicyId="policyStatementType">
<xacml:Policy PolicyId="policy:1" RuleCombiningAlgId="..">
...
</xacml:PolicySet PolicyStatementType">
<xacml:Policy PolicyId="policy:1" RuleCombiningAlgId="..">
...
</xacml:PolicySet PolicyStatementType">
<xacml:PolicySet PolicyId="policy:1" RuleCombiningAlgId="..">
...
</xacml:PolicySet PolicySetId="policyset:5" ... >
...
</xacml:PolicySet PolicySetId="policyset:5" ... >
...
</saml:Statement>
</saml:Assertion>
```

- An instance of a <saml:Assertion> element containing an XACMLPolicy Statement is called an XACMLPolicy Assertion in this Profile.
- When an XACMLPolicy Assertion is part of a response to an <xacml-samlp:XACMLPolicyQuery>,
 then the XACMLPolicy Assertion MUST contain exactly one XACMLPolicy Statement, which in turn MAY
 contain any number of XACML Policy and PolicySet instances.
- 1064 This Profile imposes the following requirements and restrictions on the <saml:Assertion> element 1065 beyond those specified in SAML 2.0 when used as an XACMLPolicy Assertion.
- 1066 <saml:Issuer>[Required]
- 1067 The <saml:Issuer> element is a required element for holding information about "the SAML 1068 authority that is making the claim(s) in the assertion" [SAML].
- In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer> element refer to the entity that signs the XACMLPolicy Assertion. It is up to the relying party to determine whether it has an appropriate trust relationship with the authority that
- signs the XACMLPolicy Assertion.

- 1073 <ds:Signature>[Optional]
- 1074 The <ds:Signature> element is an optional element for holding "An XML Signature that 1075 authenticates the assertion, as described [in Section 5 of the SAML 2.0 core specification[SAML]]."

1076A <ds:Signature> instance MAY be used in an XACMLPolicy Assertion. In order to support 3rd1077party digital signatures, this Profile does NOT require that the identity provided in the1078<saml:Issuer> instance refer to the entity that signs the XACMLPolicy Assertion. It is up to the1079relying party to determine whether it has an appropriate trust relationship with the authority that signs1080the XACMLPolicy Assertion.

- A relying party SHOULD verify any signature included in the XACMLPolicy Assertion and SHOULD
 NOT use information derived from the XACMLPolicy Assertion unless the signature is verified
 successfully.
- 1084 <saml:Subject>[Optional]

1085The <saml:Subject> element MUST NOT be included in an XACMLPolicy Assertion. Instead,1086the Subjects of an XACMLPolicy Assertion are specified in the XACML Policy and PolicySet1087elements contained in the enclosed XACMLPolicy Statement.

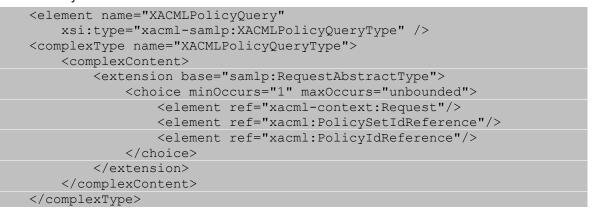
- 1088 <saml:Conditions>[Optional]
- 1089 The <saml:Conditions> element is an optional element that is used for "conditions that MUST be 1090 taken into account in assessing the validity of and/or using the assertion" [SAML].

1091The <saml:Conditions> instance SHOULD contain NotBefore and NotOnOrAfter XML1092attributes to specify the limits on the validity of the XACMLPolicy Assertion. If these XML attributes1093are present, the relying party SHOULD ensure that an <xacml-context:Response> taken from1094the XACMLPolicy Assertion is used only during the XACMLPolicy Assertion's specified validity1095period.

1096 **5.5 Element < xacml-samlp: XACMLPolicyQuery>**

1097 An instance of the new <xacml-samlp:XACMLPolicyQuery> protocol element MAY be used by a 1098 PDP or application to request XACML <xacml:Policy> or <xacml:PolicySet> instances from an

1099 on-line Policy Administration Point.



1100 The <xacml-samlp:XACMLPolicyQuery> element is of <xacml-samlp:XACMLPolicyQueryType> 1101 complex type, which is an extension to the SAML-defined <samlp:RequestAbstractType>.

1102 The <xacml-samlp:XACMLPolicyQuery> element contains zero or more of the following elements in 1103 addition to those defined for the <samlp:RequestAbstractType>:

- 1104 <xacml-context:Request> [Any Number]
- 1105 An XACML Request Context. All XACML <xacml:Policy> and <xacml:PolicySet> instances

potentially applicable to this Request that the requester is authorized to receive MUST be returned.
 The concept of "applicability" in the XACML context is defined in the XACML 2.0 Specification

1108 [XACML]. Any superset of applicable policies MAY be returned; for example, all policies having top-1109 level Target elements that match the Request MAY be returned.

- 1110 <xacml:PolicySetIdReference>[Any Number]
- 1111 Identifies an XACML <xacml:PolicySet> instance to be returned.
- 1112 <xacml:PolicyIdReference>[Any Number]
- 1113 Identifies an XACML <xacml:Policy> instance to be returned.
- 1114 Non-normative note: The <xacml-samlp:XACMLPolicyQuery> is not intended as a robust
- 1115 provisioning protocol. Users requiring such a protocol may consider using the OASIS Service
- 1116 Provisioning Markup Language (SPML). Note that the SAML-defined ID XML attribute is a required
- 1117 component of an instance of <samlp:RequestAbstractType> that the <xacml-
- 1118 samlp:XACMLPolicyQuery> extends and MAY be used to correlate the <xacml-
- 1119 *samlp:XACMLPolicyQuery>* with the corresponding XACMLPolicy Response.

1120 5.6 Element <samlp:Response>: XACMLPolicy Response

1121 A <samlp:Response> instance MAY contain an XACMLPolicy Assertion. An instance of such a

1122 <samlp:Response> element is called an XACMLPolicy Response in this Profile. An XACMLPolicy 1123 Response is shown in the following non-normative example:

</samlp:Response>

1124An instance of a <samlp:Response> element that contains an XACMLPolicy Assertion is called an1125XACMLPolicy Response in this Profile. Such a Response MUST be used as the response to an1126<xacml-samlp:XACMLPolicyQuery>. It MAY be used to convey or store XACML policies for other

1127 purposes.

1128 This Profile imposes the following requirements and restrictions on the <samlp:Response> element in 1129 addition to those specified in SAML 2.0 when used as an XACMLPolicy Response.

- 1130 <saml:Issuer>[Optional]
- 1131 The <saml:Issuer> element Identifies the entity that generated the XACMLPolicy Response 1132 message." [SAML].

- In order to support 3rd party digital signatures, this Profile does NOT require that the identity provided in the <saml:Issuer> element refer to the entity that signs the XACMLPolicy Response. It is up to the relying party to determine whether it has an appropriate trust relationship with the authority that signs the XACMLPolicy Response.
- 1137 <ds:Signature>[Optional]
- 1138 The <ds:Signature> element is an optional element for holding "An XML Signature that 1139 authenticates the responder and provides message integrity" [SAML].
- 1140 A <ds:Signature> instance MAY be used in an XACMLPolicy Response. In order to support 3rd
- 1141 party digital signatures, this Profile does NOT require that the identity provided in the
- 1142 <saml:Issuer> instance refer to the entity that signs the XACMLPolicy Response. It is up to the 1143 relying party to determine whether it has an appropriate trust relationship with the authority that signs 1144 the XACMLPolicy Response.
- A relying party SHOULD verify any signature included in the XACMLPolicy Response and SHOULD
 NOT use information derived from the XACMLPolicy Response unless the signature is verified
 successfully.
- 1148 <saml:Assertion> [Any Number]
- 1149If the XACMLPolicy Response is issued in response to an <xacml-samlp:XACMLPolicyQuery>,1150then there MUST be exactly one instance of this element that contains an XACMLPolicy Assertion1151representing the response to the associated XACMLPolicy Query. If the XACMLPolicy Response is1152not issued in response to an <xacml-samlp:XACMLPolicyQuery>, it MAY contain one or more
- 1153 XACMLPolicy Assertions as well as other SAML or XACML Assertions.
- 1154 <saml:Status>[Required]
- 1155 If the XACMLPolicy Response is issued in response to an <xacml-samlp:XACMLPolicyQuery>,
- and if it is not possible to return all policies that satisfy the <xacml-samlp:XACMLPolicyQuery>, then a <samlp:StatusCode> value of
- 1158 urn:oasis:names:tc:saml:2.0:status:TooManyResponses MUST be returned in the
- 1159 <samlp:Status> element of the Response.
- 1160 InResponseTo [Optional]
- 1161 This optional XML attribute is "A reference to the identifier of the request to which the response
- 1162 corresponds." When the XACMLPolicy Response is issued in response to an <xacml-
- 1163samlp:XACMLPolicyQuery>, this XML attribute MUST contain the value of the ID XML attribute1164from the <xacml-samlp:XACMLPolicyQuery> to which this is a response. This allows the
- receiver to correlate the XACMLPolicy Response with the corresponding XACMLPolicy Query.

1166 **6 Advice**

1167 This Section describes how to include XACMLAuthzDecision Assertion and XACMLPolicy Assertion 1168 instances as advice in another SAML Assertion instance.

1169 6.1 Element <saml:Advice>

1170 A SAML Assertion MAY include a <saml:Advice> element containing "Additional information related to

the assertion that assists processing in certain situations but which MAY be ignored [without affecting

either the semantics or the validity of the assertion] by applications that do not understand the advice or

do not wish to make use of it." [SAML] An XACMLAuthzDecision Assertion or XACMLPolicy Assertion may be used in the Advice element as shown in the following non-normative example:

```
<saml:Advice>
<saml:Assertion Version="2.0" ID="200606231640"
IssueInstant="2006-05-31T13:20:00:000">
<saml:Issuer>https://XACMLPDP.example.com</saml:Issuer>
<saml:Statement
xsi:type="xacml-saml:XACMLAuthzDecisionStatementType">
<saml:Statement
xsi:type="xacml-saml:XACMLAuthzDecisionStatementType">
<saml:Context:Response>
....
</xacml-context:Response>
....
</xacml-context:Response>
....
</xacml-context:Request>
....
</saml:Statement>
</saml:Statement>
</saml:Assertion>
</saml:Advice>
```

7 Using an XACML Authorization Decision as an Authorization Token

This Section of the Profile describes how to use an XACMLAuthzDecision Statement as a security and
privacy authorization token as part of a SOAP message exchange in a Web Services context. This
token MAY be used by a client to convey an authorization decision from a trusted 3rd party to a service.
A Web Service MAY use such a token to determine that the client is authorized to access information
involved in the Web Services interaction.

In a Web Services context, an instance of an XACMLAuthzDecision Assertion MAY be used as an
 authorization token in the Web Services Security [WSS] wsse:Security Header of a SOAP message.
 When used in this way, the XACMLAuthzDecision Statement in the XACMLAuthzDecision Assertion
 MUST include the corresponding XACML Request Context. This allows the Web service to determine
 whether the <xacml-context:Attribute> instances in the Request correspond to the access that
 the client requires as part of the Web Service interaction. The XACMLAuthzDecision Assertion
 SHOULD be signed by a Policy Decision Point trusted by the Web Service.

A Web Service MAY use this token to determine that a trusted 3rd party has evaluated an XACML 1189 Request Context that is relevant to the invocation of the service, and has reported an authorization 1190 decision. The service SHOULD verify that the signature on the XACMLAuthzDecision Assertion is from 1191 a Policy Decision Point that the service trusts. The service SHOULD verify that the validity period of the 1192 XACMLAuthzDecision Assertion includes the time at which the Web Service interaction will access the 1193 information or resource to which the Request Context applies. The service SHOULD verify that the 1194 <xacml-context:Attribute> instances contained in the XACML <xacml-context:Request> 1195 element correctly describe the information or resource access that needs to be authorized as part of this 1196 Web Service interaction. 1197

1198 8 SAML Metadata

1199 The following SAML metadata extensions are RECOMMENDED.

1200 TBD: this Section is under development. Contributions from developers who have implemented the 1201 Profile are invited. See http://wiki.oasis-open.org/xacml/IssuesList, Issue#74 for more information on 1202 current contributions to this topic.

1203 These SAML metadata extensions are used to create XACML SAML versions of the standard SAML 1204 metadata information. The namespace for these metadata extensions is

```
1205 xmlns:xacml-samlm=
1206 "urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:schema:metadata
```

1207 The types defined in this Section of the Profile are used as in the following example, where an xacml-

1208 samlm:XACMLPDPDescriptorType is used to instantiate a standard SAML md:RoleDescriptor in a

1209 standard SAML md:EntityDescriptor by means of the xsi:type XML attribute: example:

```
<md:EntityDescriptor entityID="..." validUntil="..."
cacheDuration="..." ID="..." >
<ds:Signature>...</ds:Signature>
<md:RoleDescriptor xsi:type="xacml-samlm:XACMLPDPDescriptorType"
...any std RoleDescriptor attributes... >
<xacml-samlm:XACMLAuthzService/>
</md:RoleDescriptor>
<md:Organization>...</md:Organization>
<md:ContactPerson>...</md:ContactPerson>
<md:AdditionalMetadataLocation>...</md:AdditionalMetadataLocation>
</md:EntityDescriptor>
```

1211 8.1 Type <xacml-samlm: XACMLPDPDescriptorType>

1212 PDP information: standard SAML metadata. Proposed syntax:

1213 8.2 Type <xacml-samlm:XACMLPDPConfigType>

Extended PDP information. Attributes which are not defined in SAML standard metadata. No proposed syntax yet.

1216 8.3 Type < xacml-

1217 samlm:XACMLAuthzDecisionQueryDescriptorType>

1218 PEP endpoint information. Proposed syntax:

```
<complexType name="XACMLAuthzDecisionQueryDescriptorType">
        <complexContent>
        <extension base="md:QueryDescriptorType">
        </extension>
        </complexContent>
</complexContent>
```

1219

```
<element name="XACMLAuthzDecisionQueryDescriptor"
    type="xacml-samlm:XACMLAuthzDecisionQueryDescriptorType"/>
<complexType name="XACMLAuthzDecisionQueryDescriptorType">
    <complexContent>
        <extension base="md:QueryDescriptorType">
        </extension base="md:QueryDescriptorType">
        </complexContent>
        </complexContent>
        </complexContent>
        </complexContent>
```

1220 8.4 Type <xacml-samlm:XACMLAuthzDecisionQueryConfigType>

1221 PEP extended metadata. No proposed syntax yet.

1222 9 Conformance

1223 Implementations of this Profile MAY implement certain subsets of the described functionality. Each 1224 implementation MUST clearly identify the subsets it implements using the following identifiers.

An implementation of this Profile is a conforming *SAML Attribute* implementation if the implementation conforms to Section 2 of this Profile. The following URI MUST be used as the identifier for this functionality:

1228 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:attrs:all

An implementation of this Profile is a conforming *SOAP Attributes as XACMLAuthzDecisionQuery* implementation if the implementation conforms to Section 3.1 of this Profile. The following URI MUST be used as the identifier for this functionality:

1232 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:SOAP:authzQuery

An implementation of this Profile is a conforming *SOAP Attributes as SAML Attribute Assertion* implementation if the implementation conforms to Section 3.2 of this Profile. The following URI MUST be used as the identifier for this functionality:

- 1236 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:SOAP:attrAssertion
- 1237

An implementation of this Profile is a conforming XACML Authz Decision without Policies implementation
 if the implementation conforms to all parts of Section 4 of this Profile excluding the <xacml:Policy>,
 <xacml:PolicySet>, and <xacml-samlp:ReferencedPolicies> elements and their sub elements and the CombinePolicies XML attribute in the <xacml-
 samlp:XACMLAuthzDecisionQuery>. XACML 3.0 implementations MUST support the <xacml-

1243 samlp:AdditionalAttributes> element and its sub-elements in the <xacml-

1244 samlp:XACMLAuthzDecisionQuery>. XACML 1.0, 1.1, and 2.0 implementations MUST NOT support

1245 the <xacml-samlp:AdditionalAttributes> element and its sub-elements in the <xacml-

1246 samlp:XACMLAuthzDecisionQuery>. The following URI MUST be used as the identifier for this 1247 functionality:

1248 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:authzDecisionurn:oasis:nam 1249 es:tc:xacml:3.0:profile:saml2.0:v2:authzDecision:noPolicies

An implementation of this Profile is a conforming XACML Authz Decision with Policies implementation if the implementation conforms to all parts of Section 4 of this Profile. XACML 3.0 implementations MUST support the <xacml-samlp:AdditionalAttributes> element and its sub-elements in the <xacmlsamlp:XACMLAuthzDecisionQuery>. XACML 1.0, 1.1, and 2.0 implementations MUST NOT support the <xacml-samlp:AdditionalAttributes> element and its sub-elements in the <xacmlsamlp:XACMLAuthzDecisionQuery>. The following URI MUST be used as the identifier for this functionality:

```
1257 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:authzDecision:withPolicies
```

1261 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:policies

An implementation of this Profile is a conforming *XACML Policies* implementation if the implementation conforms to Section 5 of this Profile. The following URI MUST be used as the identifier for this functionality:

An implementation of this Profile is a conforming *SAML Advice* implementation if the implementation conforms to Section 6 of this Profile. The following URI MUST be used as the identifier for this functionality:

1265 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:adviceSAML

An implementation of this Profile is a conforming *XACML Authz Token* implementation if the implementation conforms to Section 7 of this Profile. The following URI MUST be used as the identifier for this functionality:

1269 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:authzToken

An implementation of this Profile is a conforming *SAML Metadata* implementation if the implementation conforms to Section 8 of this Profile. The following URI MUST be used as the identifier for this

- 1272 functionality:
- 1273 urn:oasis:names:tc:xacml:3.0:profile:saml2.0:v2:metadata

1274 Appendix A. Acknowledgments

1275 The following individuals have participated in the creation of this specification and are gratefully 1276 acknowledged

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1312 Appendix B. Revision History

Rev	Date	By whom	What
WD 1	12 April 2006	Anne Anderson	Create from SAML Profile errata document. <xacmlauthzdecisionstatementtype>: replace "ReturnResponse" with "ReturnContext" in description. Authorization Decisions: replaced "in the Response to an <xacmlauthzdecisionstatement>" with "<xacmlauthzdecisionquery>". Create new types for SAML elements that will need to include XACML extensions. Create new elements for each extended type. Allow an XACMLAuthzDecisionQuery to include XACML policies for use in evaluating that query. Allow an XACMLAssertion to contain an XACMLAdvice element that in turn can contain an XACMLAssertion.</xacmlauthzdecisionquery></xacmlauthzdecisionstatement></xacmlauthzdecisionstatementtype>
WD 2	23 June 2006	Anne Anderson	Changed name to "xacml-2.0-profile-saml2.0-v2-spec Removed specifications for all new elements except the XACMLAuthzDecisionQuery and XACMLPolicyQuery and all new types except for XACMLAuthzDecisionStatementType and XACMLPolicyStatementType and the two new Query types. Added descriptions of each standard SAML element in which XACML types might occur, and gave examples of use of xsi:type. Described use of the ID and InResponseTo attributes to correlate Queries and Responses.
WD 3	5 March 2007	Anne Anderson	 -change boilerplate to conform to new OASIS template -Title: change to reflect that this profile applies to all versions of XACML -1.3 Added section on backwards compatibility -1.4 Removed notation section -1.5 Added namespaces section -2.6 Insert the "Conveying XACML Attributes in a SOAP Message" section from the WS-XACML profile -2.1.1 Clarify that <saml:subject> is not translated into an XACML -id Attribute</saml:subject> -3.5 and following,3.13: add syntax for passing additional Attributes in XACMLAuthzDecisionQuery from Admin Policy. 3.9 and following: add syntax for passing references policies. -4.4 XACMLPolicyQuery: clarify it returns all potentially applicable policies; remove Target element; change Choice lower bound from 0 to 1 and remove case where no elements included; add non-normative note to consider SPML for provisioning protocol -4.5 Response: Use valid ID values in example; add <samlp:status> element saying to use SAML TooManyResponses StatusCode if unable to return all applicable policies</samlp:status> -7 Insert the "XACML Authorization Token" section from the WS-XACML profile -Schemas: create versions specific to each XACML version -Protocol schema: remove XACMLPolicyQuery Target element, change Choice lower bound from 0 to 1 -Protocol schema: add Administrative Policy elements.
WD 4	15 June 2007	Anne Anderson	-throughout: used actual schema elements rather than

Rev	Date	By whom	What
			invented names except when speaking about instances embedded in other instances (e.g. <saml:attribute> rather than SAML Attribute, but SAML Attribute Response rather than <samlp:response>). -throughout: added namespace designators to schema items and added additional namespace prefixes to list in Section 1.4 -Figure 1 updated the "Components and messages diagram to use same names as text -2.1.1 Clarified that implementations need not create actual <xacml-context:attribute> instances so long as PDP can obtain corresponding values as if such instances existed. -2.1.1 Reworded description of NotBefore, NotOnOrAfter relationship to XACML date/time Attributes to be more clear -3.4,7,B.1 Inserted non-normative notes referring to open issues in relevant places -3.4,4.1 Clarified that the ReferencedPolicies element need not contain policies that receiver is not authorized to view -3.9 Clarified that Policy[Set]IdReference values must exactly match corresponding Policy[Set]Id values in the ReferencedPolicies element. -3.7 Changed "AttributeMatch" to "Match" to fit 3.0 schema -3.9, schemas:Fixed schema for ReferencedPolicies so it validates -3.4,4.1 Reworded AssignedAttributes and XACMLAuthzDecisionQuery Policy[Set] descriptions to clarify that the values must not be used except with the given Request" -4.1,4.2 Add ReferencedPolicies element to XACMLPolicyStatementType -4.6 Reworded so to allow Response that is not issued in response to a specific Query -7 Added first draft of SAML Metadata -8 Added urn for SAML Metadata functionality</xacml-context:attribute></samlp:response></saml:attribute>
WD 5	19 July 2007	Anne Anderson	 Import XACML 1.0 schemas from local copies Import XACML 2.0 schemas from http://docs.oasis- open.org/xacml/ directory Import XACML 3.0 WD3 schema Add OASIS copyright to all schemas Made "Conveying XACML Attributes in a SOAP Message" a separate Section for easier reference in Conformance Section Revised Conformance Section to refer to current document sections and to include previously omitted elements. Made Introduction non-normative except for Namespaces and Normative References sections. Made SAML Metadata section normative but RECOMMENDED

1313